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14. ABSTRACT

Through sponsorship of the US Army Research Office, the University of Southern California Information Sciences Institute hosted a 1-day working meeting on the topic of Design for Security, July 23, 2014 at the Marina del Rey, CA location, where the primary focus was on electronics (ASICs, FPGAs, COTS, etc). In the past decade, more and more fabrication of advanced ICs has migrated offshore, largely because of global economic pressures. Fabrication facilities dedicated to supporting the Department of Defense can no longer provide the performance, variety, and volume of ICs at the cost needed. Such trends have raised concerns regarding the reliance of U.S. defense systems

15. SUBJECT TERMS

Security, Assurance, Trust

16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	15. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON Jeffrey Draper
a. REPORT	b. ABSTRACT	c. THIS PAGE	UU		19b. TELEPHONE NUMBER 310-448-8750
UU	UU	UU			

Report Title

Final Report: Design for Security Workshop

ABSTRACT

Through sponsorship of the US Army Research Office, the University of Southern California Information Sciences Institute hosted a 1-day working meeting on the topic of Design for Security, July 23, 2014 at the Marina del Rey, CA location, where the primary focus was on electronics (ASICs, FPGAs, COTS, etc). In the past decade, more and more fabrication of advanced ICs has migrated offshore, largely because of global economic pressures. Fabrication facilities dedicated to supporting the Department of Defense can no longer provide the performance, variety, and volume of ICs at the cost needed. Such trends have raised concerns regarding the reliance of U.S. defense systems on high-performance ICs and the potential vulnerabilities of these systems if fabricated and/or developed offshore. While previous programs, such as DARPA's Trust in Integrated Circuits and Integrity and Reliability in Integrated Circuits, sought to address these concerns through hardware and design validation, the design perspective to explore what can be done during the design phase to increase the security of a system has not received equal attention. This workshop discussed how to incorporate security as a first-rate metric during the design flow, much like performance, area, and power and identified areas needing further investment.

Enter List of papers submitted or published that acknowledge ARO support from the start of the project to the date of this printing. List the papers, including journal references, in the following categories:

(a) Papers published in peer-reviewed journals (N/A for none)

Received   Paper

   TOTAL:

Number of Papers published in peer-reviewed journals:

(b) Papers published in non-peer-reviewed journals (N/A for none)

Received   Paper

   TOTAL:

Number of Papers published in non peer-reviewed journals:

(c) Presentations

Non Peer-Reviewed Conference Proceeding publications (other than abstracts):

<u>Received</u>   <u>Paper</u>

   TOTAL:

Number of Non Peer-Reviewed Conference Proceeding publications (other than abstracts):

Peer-Reviewed Conference Proceeding publications (other than abstracts):

<u>Received</u>   <u>Paper</u>

   TOTAL:

Number of Peer-Reviewed Conference Proceeding publications (other than abstracts):

(d) Manuscripts

<u>Received</u>   <u>Paper</u>

   TOTAL:

Number of Manuscripts:

Books

<u>Received</u>   <u>Book</u>

   TOTAL:

<u>Received</u>   <u>Book Chapter</u>

TOTAL:

Patents Submitted

Patents Awarded

Awards

Graduate Students

<u>NAME</u>	<u>PERCENT_SUPPORTED</u>
FTE Equivalent:	
Total Number:	

Names of Post Doctorates

<u>NAME</u>	<u>PERCENT_SUPPORTED</u>
FTE Equivalent:	
Total Number:	

Names of Faculty Supported

<u>NAME</u>	<u>PERCENT_SUPPORTED</u>	National Academy Member
Jeffrey Draper	0.70	
FTE Equivalent:	**0.70**	
Total Number:	**1**	

Names of Under Graduate students supported

<u>NAME</u>	<u>PERCENT_SUPPORTED</u>
FTE Equivalent:	
Total Number:	

Student Metrics
This section only applies to graduating undergraduates supported by this agreement in this reporting period

The number of undergraduates funded by this agreement who graduated during this period: 0.00

The number of undergraduates funded by this agreement who graduated during this period with a degree in science, mathematics, engineering, or technology fields:...... 0.00

The number of undergraduates funded by your agreement who graduated during this period and will continue to pursue a graduate or Ph.D. degree in science, mathematics, engineering, or technology fields:...... 0.00

Number of graduating undergraduates who achieved a 3.5 GPA to 4.0 (4.0 max scale):...... 0.00

Number of graduating undergraduates funded by a DoD funded Center of Excellence grant for Education, Research and Engineering:...... 0.00

The number of undergraduates funded by your agreement who graduated during this period and intend to work for the Department of Defense 0.00

The number of undergraduates funded by your agreement who graduated during this period and will receive scholarships or fellowships for further studies in science, mathematics, engineering or technology fields:...... 0.00

Names of Personnel receiving masters degrees

NAME

Total Number:

Names of personnel receiving PHDs

NAME

Total Number:

Names of other research staff

NAME	PERCENT_SUPPORTED
Larry Godinez	0.20
FTE Equivalent:	**0.20**
Total Number:	**1**

Sub Contractors (DD882)

Inventions (DD882)

Scientific Progress

See Attachment.

Technology Transfer

The workshop results were distributed to many DoD contractors and government agency representatives (see attendee list in the attached final report).

Final Report

Period Covered: August 23, 2013 – September 30, 2014

Design for Security Workshop

September 30, 2014

Award Number: W911NF-13-1-0261

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Design for Security Workshop

Final Report

Summary of Activities

Through sponsorship of the US Army Research Office, the University of Southern California Information Sciences Institute hosted a 1-day working meeting on the topic of Design for Security, July 23, 2014 at the Marina del Rey, CA location. While the primary focus was on electronics (ASICs, FPGAs, COTS, etc), some discussion of techniques at other system levels was also mentioned, especially in the invited talks. In the past decade, more and more fabrication of advanced ICs has migrated offshore, largely because of global economic pressures. Fabrication facilities dedicated to supporting the Department of Defense can no longer provide the performance, variety, and volume of ICs at the cost needed. Such trends have raised concerns regarding the reliance of U.S. defense systems on high-performance ICs and the potential vulnerabilities of these systems if fabricated and/or developed offshore. While previous programs, such as DARPA's Trust in Integrated Circuits and Integrity and Reliability in Integrated Circuits, sought to address these concerns through hardware and design validation, the design perspective to explore what can be done during the design phase to increase the security of a system has not received equal attention. This workshop addressed/discussed how to incorporate security as a first-rate metric during the design flow, much like performance, area, and power. Some of the topics discussed include:

• Vulnerabilities in the current design flow of integrated circuits and embedded systems

• Potential holistic solutions to building in security during design

• Metrics for measuring security

• Defining the trade-off space between security and other design constraints such as cost, power, and reliability

• Defining the levels in the design flow where it makes sense to model threats and define appropriate defenses in response

• Security as it relates to 3rd-party IP and FPGAs

• Implications on test procedures

A wiki for distribution of workshop presentations, findings, and even videos was set up at https://uscisi.atlassian.net/wiki/display/DFSWM. Attendees and other approved users were given accounts for access to this material, and much of the material in this final report is taken directly from the postings.

Detailed Activities

The agenda for the workshop can be found in Appendix A. The day was organized into a number of invited talks, a panel session for questions and answers with the invited speakers as

well as to identify the main topics to be addressed during breakout sessions, and then two breakout sessions. The invited speakers and talk titles are given below:

- Security in Mobile Systems - Rob Aitken, ARM
- Zynq Security Components and Capabilities - Steve Trimberger, Xilinx
- EDA Perspective on Tools for Hardware Trojan Detection and Supply Chain Security - Serge Leef, Mentor
- STARSS: Fundamental Design for Security Research Jointly Funded by Industry and Government - Celia Merzbacher, SRC

These presentations can be found in Appendix B. Following the invited presentations and panel session, the attendees self-organized into roughly equally-sized groups between two breakout sessions: one to address theory/metrics, and the other to address issues envisioned for reduction to practice. Some of the issues related to these themes and presented to attendees were:

- Theory/Metrics:
  — Potential holistic solutions to building in security during design
  — Metrics for measuring security given known vulnerabilities in current design flow
    - *How can metrics be defined so that security can be incorporated in design flow as constraint analogous to speed, area, power*
- Practice:
  — Defining the trade-off space between security and other design constraints such as cost, power, and reliability
  — Defining the levels in the design flow where it makes sense to model threats and define appropriate defenses in response
  — Security as it relates to 3rd-party IP and FPGAs
  — Implications on test procedures

Attendees were then given the following charge for their respective breakout sessions:

- Establish a research agenda that will solve the problem
  — Identify key aspects of the problem and a research plan for solving the problem
    - *Identify key aspects of the problem that need investment*
  — Identify key questions to be answered and a process for answering
  — Identify five central challenges that are worthy of pursuing and need investment

The findings of the breakout sessions are best summarized by the top 5 research area priorities identified as needing investment:

- Methods to create verifiably secure, attack-resistant IP at all levels of design hierarchy, including definitions of metrics
- Methodologies/techniques for the behavioral modeling of the security of devices and systems
- Tools for secure interplay between hardware and software
- Design environment for modeling and simulating hardware attacks and actions for mitigation
- Extensions to HW description languages that capture security attributes

An outbrief presentation summarizing the motivation, issues, and findings of the workshop can be found in Appendix C. The list of workshop attendees along with their affiliations is given in Appendix D. The workshop attendance ended up being 34 with a mix of commercial industry, defense industry, academia, and government agency participants.

Appendix A – Design for Security Workshop Agenda

Design for Security Working Meeting Agenda

University of Southern California
Information Sciences Institute
Marina del Rey, CA
July 23, 2014

Time	Topic	Presenter(s)	Room(s)
08:30	Sign in / Continental breakfast		1137
08:45	Welcome/Logistics/Intro/Expectations	Jeff Draper, USC ISI	1135
09:00	Security in Mobile Systems	Rob Aitken, ARM	1135
09:20	Zynq Security Components and Capabilities	Steve Trimberger, Xilinx	1135
09:40	EDA Perspective on Tools for Hardware Trojan Detection and Supply Chain Security	Serge Leef, Mentor	1135
10:00	STARSS: Fundamental Design for Security Research Jointly Funded by Industry and Government	Celia Merzbacher, SRC	1135
10:20	Break		
10:30	Panel - Q&A from invited talks / Q&A for setting up breakout sessions	Aitken, Trimberger, Leef, Merzbacher, Wang, Fazzari, Draper	1135
11:30	Lunch		1135
12:20	Report to breakout sessions		
12:30	**Breakout Session 1 – Metrics Room 1135** Metrics for measuring security given known vulnerabilities in current design flow; how can security be incorporated in design flow as constraint analogous to speed, area, power	**Breakout Session 2 – Practice Room 689** Security as it relates to IP/FPGA; impact on design flow including test procedures	1135, 689
14:30	Initial report out	Breakout Session Leaders	1135
15:00	Break / report back to breakout sessions		
15:15	**Breakout Session 1 – Metrics Room 1135** Follow-up session to address feedback from initial report out and finalize report	**Breakout Session 2 – Practice Room 689** Follow-up session to address feedback from initial report out and finalize report	1135, 689
16:15	Final report out	Breakout Session Leaders	1135
17:15	Concluding remarks / Plan for report	Draper, Wang, Fazzari	1135
17:45	Adjourn		
18:30	Dinner (stay tuned for more details)		

Appendix B – Invited Presentations

Mobile Security Systems

Rob Aitken

ARM Fellow

July 23 2014

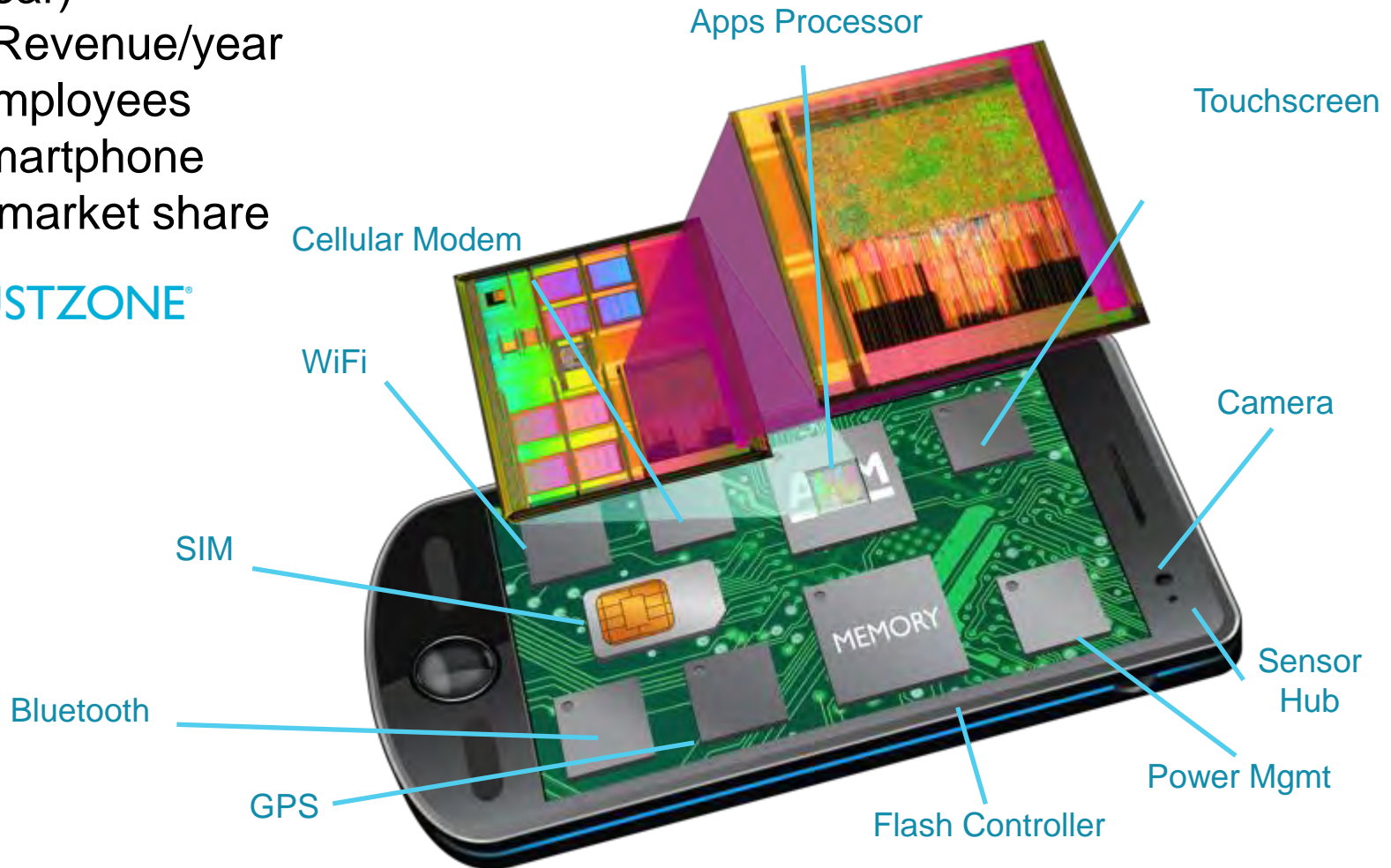The Architecture for the Digital World®

ARM®

About ARM…

- ➢ 50 Billion ARM chips (>10B /year)
- ➢ ~ $1.2B Revenue/year
- ➢ ~3000 Employees
- ➢ >95% Smartphone & Tablet market share

ARM®TRUSTZONE®
System Security

Apps Processor

Touchscreen

Cellular Modem

Camera

WiFi

SIM

Sensor Hub

Bluetooth

Power Mgmt

GPS

Flash Controller

MEMORY

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The Mobile Threat Environment

- Increasing risks
  - Social engineering – Trojans, phishing, APT
  - Malware
  - Physical loss or theft leading to risk to data – calendar, phonebook and email
  - Improperly secured devices – no PIN lock
  - User intervention – jailbreaking, unlocking
  - Mobile has become the enterprise security boundary

- Need to design in the right system-wide security (not just more security)

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Whose Data Is Involved?

- User
  - Personal information, contacts, location, photos, etc.
- Carrier
  - Network interface
- Enterprise(s)?
  - BYOD
- Apps
  - Content providers
    - DRM for movies, songs, etc.
  - Finance companies
    - Account data, passwords
  - IOT
    - home automation, health, etc.

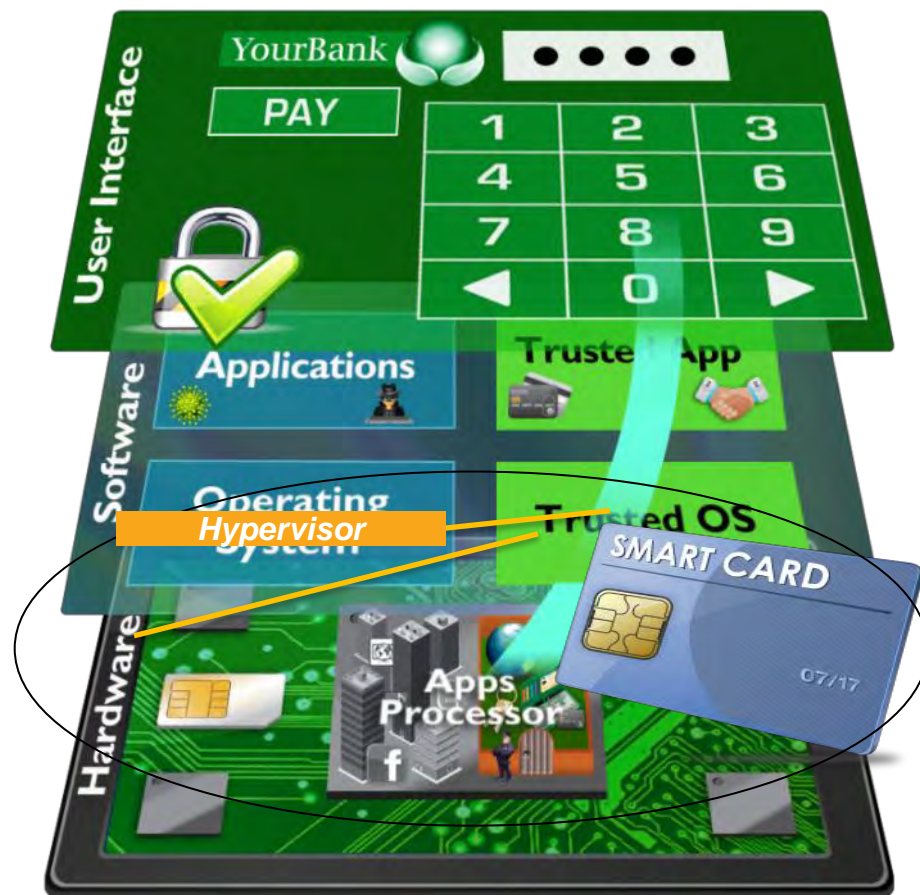**Design For Security Workshop, July 23 2014**

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Security Profiles

Cost/Effort To Attack

SmartCards / HSMs

TrustZone based TEE

Value to attacker

Invasive HW Attacks
• Well resourced and funded
• Unlimited time, money & equipment.

Non-invasive HW Attacks
• Side channels (DEMA, DPA)
• Physical access to device – JTAG, Bus Probing, IO Pins, etc.

Software Attacks
• Malware & Viruses
• Social engineering

Cost/Effort to Secure

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Mobile Solution Is Not PC Solution

- PC era security
  - Add layers of software security (SSO etc.)
  - Add hardware security (CVC, key fobs, etc.)
- Too unwieldy and confusing for mobile environment

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Mobile Security Approach

- Hypervisor (with hardware support) separating large pieces of code

- Small, certifiable Trusted Execution Environment inside Application processor isolated using ARM TrustZone technology protecting against software attacks

- Secure Element for tamper proof security

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Trusted Execution Environment

- Hardware root of trust
  - A basis for system integrity
- Integrity through Trusted Boot
- Secure peripheral access
  - Screen, keypad , fingerprint sensor etc.
- Secure application execution
- Trust established outwards
  - With normal world apps
  - With internet/cloud apps

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Castle Analogy

- Layers of defense
- Reducing attack surface
- Increasing isolation
- Principle of least privilege
- Most precious assets protected by multiple layers of security

User Apps & Malware

OS Hypervisor

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Castle Analogy

But…

- Modern OS/Framework is ~10GB + GBs of Apps

- So maybe we should think of a walled city & castle

- Attacks happen

- Everyone knows what the assets are and which room they are in

- Where to put high value assets such as keys?

Crown Jewels

Thief entered here & stole keys!

Implementation details matter!

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Castle Analogy with TrustZone Based TEE

- TrustZone based TEE creates a second (much smaller security boundary) castle with only one door, carefully designed entry/exit & APIs

- Keys only used in Secure World,
  Protected Crypto,
  Encrypted storage
  Secure execution
  Secure peripherals

- Offers:
  Integrity (part of Trusted boot)
  Confidentiality

- TrustZone TEE Castle is invisible to normal world

1-2MB

10-20 GB

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Castle Analogy with TrustZone Based TEE

Secure World

Isolated Trusted Apps

**Trusted OS
e.g. Trustonic t-base300**

Normal World

1-2MB

**GlobalPlatform Client API
SMC calls
e.g. ARM Trusted Firmware**

10-20 GB

12   Design For Security Workshop, July 23 2014

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TrustZone: Two CPUs virtualized in one

- **In <u>pre</u>-TrustZone Systems:**

- **Rigid allocation of MHz/ resources independent of the application.**

- **Silicon costs with redundant hardware that is idle most of the time**

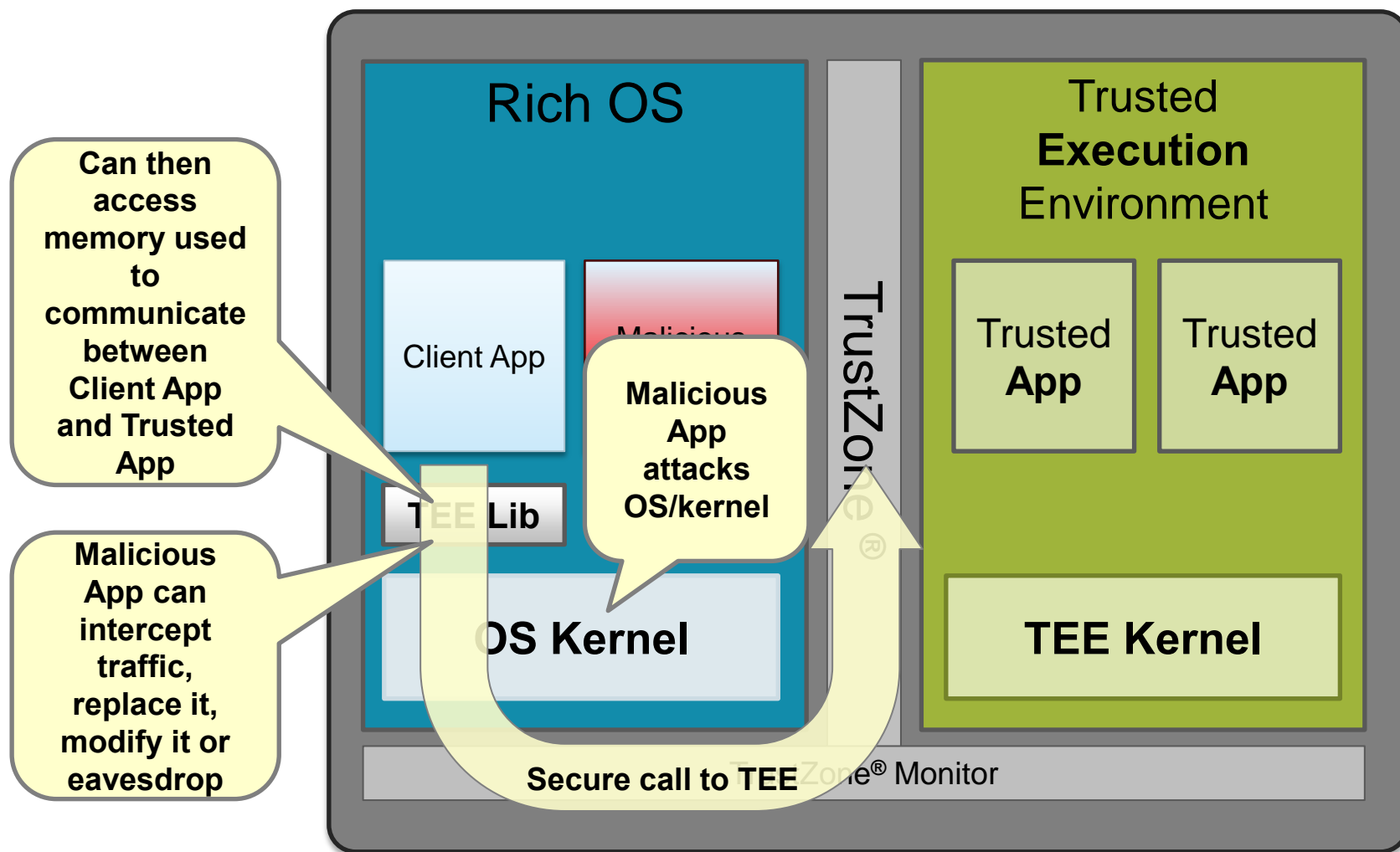- **Complex control logic and deficient performance and power consumption**

TrustZone Basics
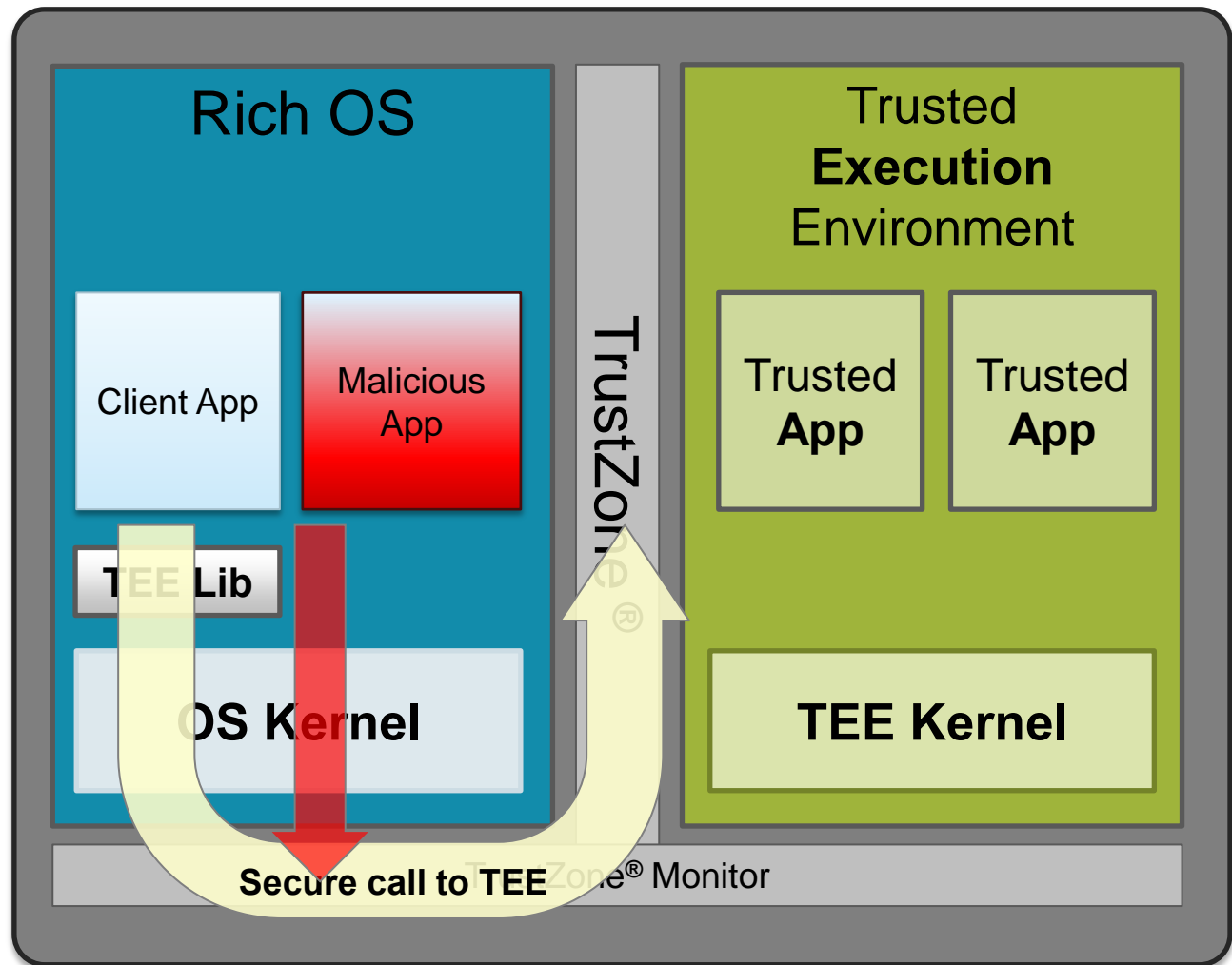
Key advantages over separate secure processor solutions:

- CPU MHz/resources are dynamically shared according to demands

- The two isolated domains are implemented in the same machine with no duplication of HW

    - Difficult to give precise "overhead" values since secure and non-secure tightly integrated from design standpoint

- Simpler and more flexible platform designs, lower costs and high power/performance efficiency
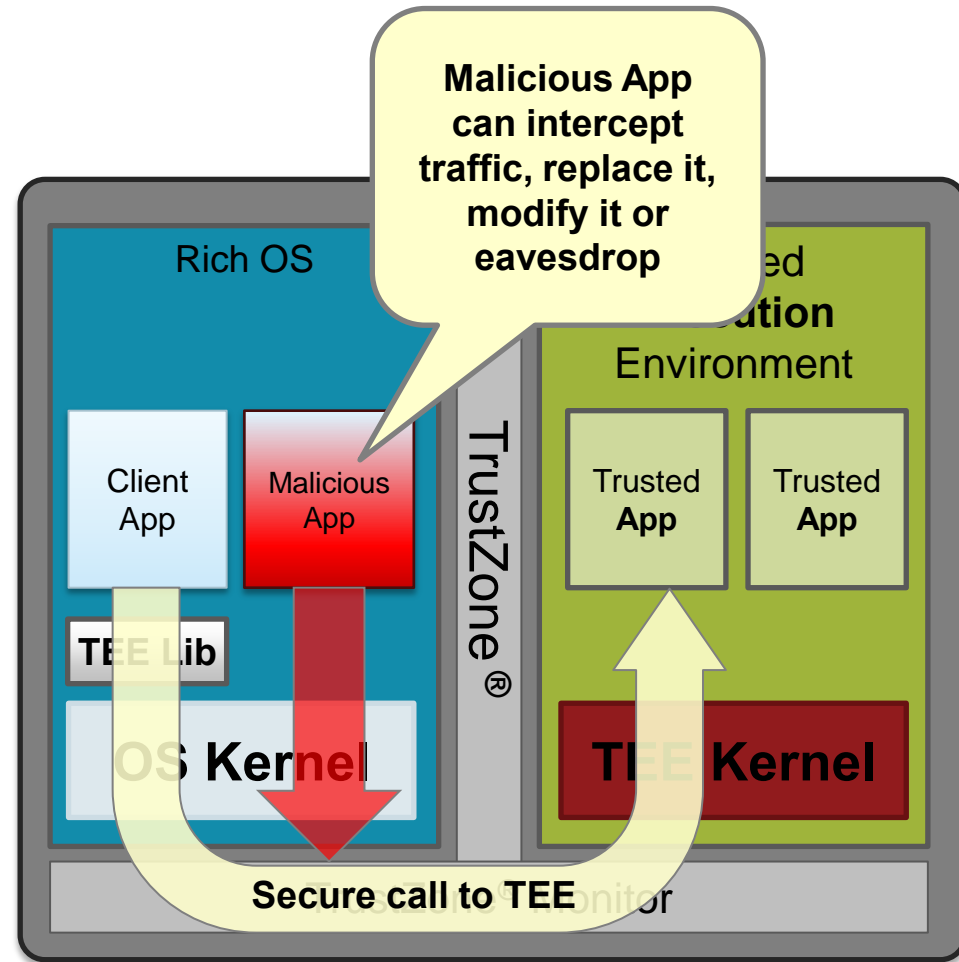
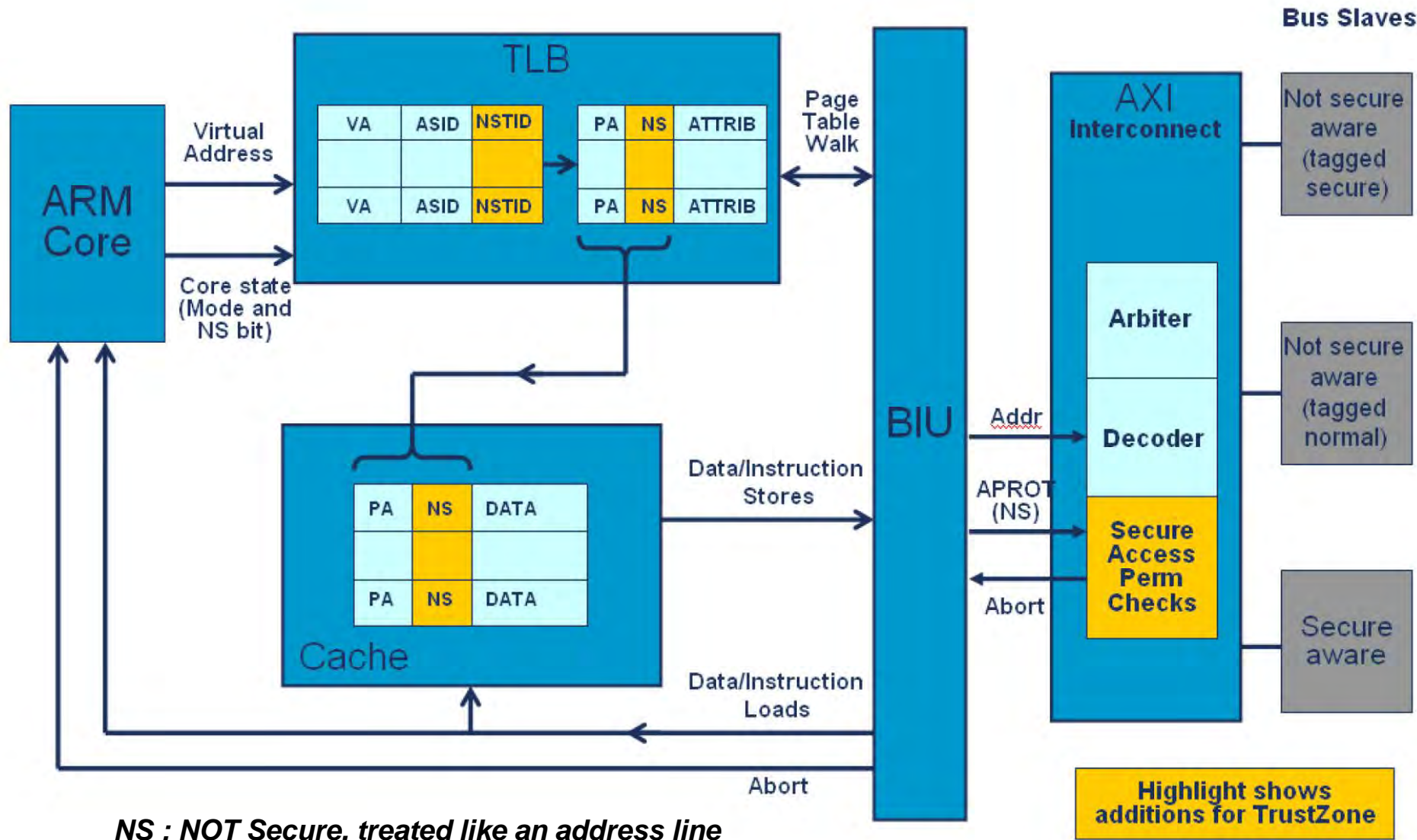**ARM**®

Attacking the TEE – Man In The Middle

Can then access memory used to communicate between Client App and Trusted App

Malicious App can intercept traffic, replace it, modify it or eavesdrop

Rich OS

Client App

Malicious

Malicious App attacks OS/kernel

TEE Lib

OS Kernel

TrustZone

Trusted **Execution** Environment

Trusted **App**

Trusted **App**

TEE Kernel

Secure call to TEE TrustZone® Monitor

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Side-Channel Attacks

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Defenses

- Normal World to Secure World communications are always exposed and vulnerable

- Mitigation
  - Don't design systems that rely on secure communications between Normal World and Secure World
  - Always use trustworthy components – crypto library, TEE and protocols

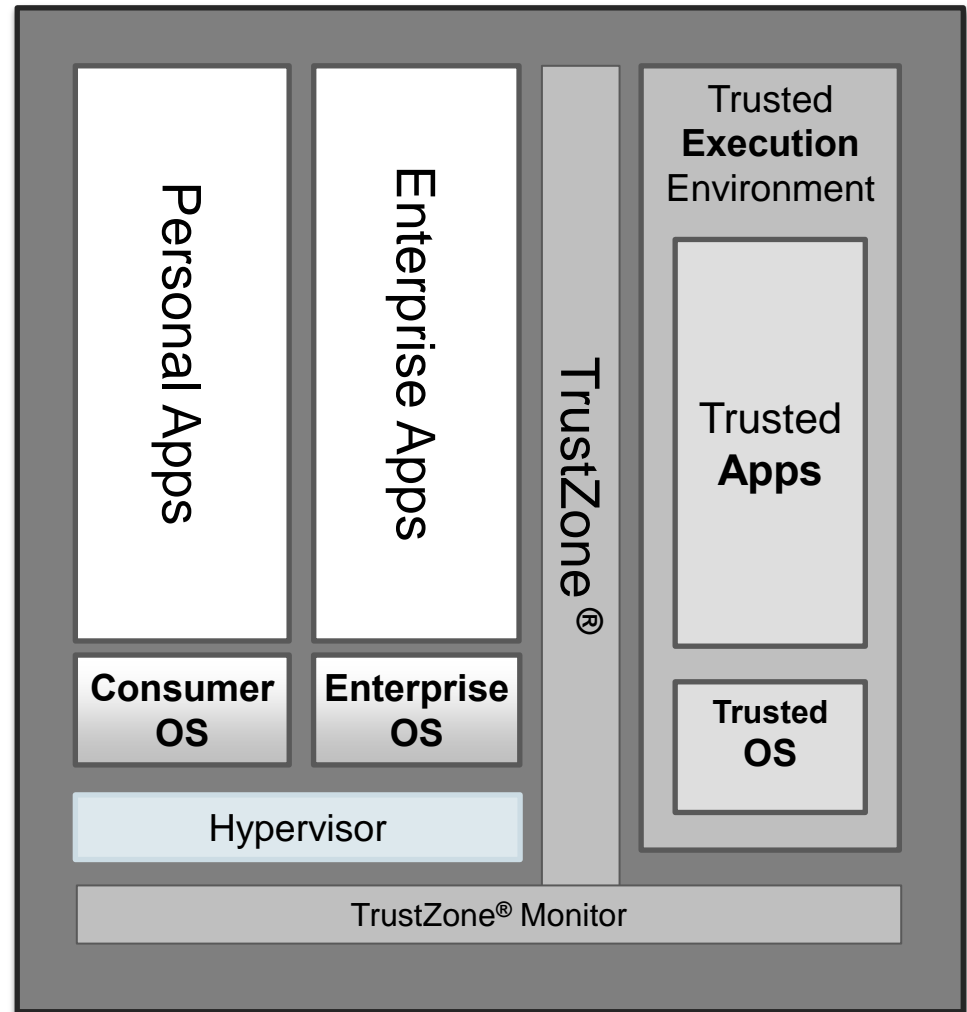Malicious App can intercept traffic, replace it, modify it or eavesdrop

Rich OS

Client App

Malicious App

TEE Lib

OS Kernel

TrustZone ®

Trusted Execution Environment

Trusted App

Trusted App

TEE Kernel

Secure call to TEE

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Propagating System Security

NS : NOT Secure, treated like an address line

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TrustZone Controllers – Vital Statistics

Code	Product	Main Function	Key Features	Size
TZC-380	TrustZone Address Space Controller	Partition external DRAM into secure and non-secure regions	Configurable up to 16 regions of size 32K to 4G, each with 8 sub-regions (down to 4K). Configurable registering to meet timing constraints with minimum latency. AXI interface for compatibility with NIC-301 and DMC-34x.	10-100k gates
BP141	TrustZone Internal Memory Wrapper	Protects internal SRAM	Manages a single secure region within the SRAM. AXI interface.	<1k gates
BP147	TrustZone Protection Controller	Prevents non-secure accesses to peripherals	Allows peripherals to be safely shared by the Secure and Non-Secure worlds. APB interface.	<1k gates

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Application of Hypervisor for BYOD

Two Personas

- Mutual Distrust model between OSs

- Ensuring Enterprise OS Security, while protecting Consumer OS Privacy.

- Enabling Enterprises to have control of their own assets in case of loss

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Secure Content Path: SoC Requirements

Premium Content → DRM → Video Codec → Display

Firmware protected against tampering

- Any SW component directly used in setting up protected memory path
- Decoders, mixers, renderers, DRM
- Critical components placed in secure processing space
- Integrity checked at boot time

Unencrypted content protected

- After DRM protection removed
- Unencrypted content never accessible to processes running in HLOS
- Unencrypted content only ever written to protected memory

Memory buffers protected by HW control

- All memory used in processing, decoding, mixing and rendering
- Sufficient memory for video bitstream and frame buffer
- Not accessible by HLOS or unauthorised HW or SW
- Output only to internal display or via protected export clients such as HDCP and DTCP

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Secure Implementation Example

Normal World

Video Player

DRM Client

HLOS

ARM CPU with TrustZone Extensions

Mali-V500

Mali Display & Composition

"Firewall" (e.g. ARM TZC400)

Rich OS Memory

Trusted "Protected" Memory

Secure World (TEE)

Video Trusted App

DRM Trusted App

Secure OS in TEE

Secure Monitor/Boot

Low cost and complexity

- Secure CPU, bus fabric and Video from a single source
- System IP designed to work together
- Simple SW integration – create a secure session then manage scheduling/control as normal

Minimal memory fragmentation

- Major issue for HD content
- Video MMU can be used for secure sessions by TEE
- No need to assign large, contiguous secure buffers

Increased flexibility and protection

- Simultaneous protected and un-protected video streams
- Additional protection of video firmware (read-only) and data (non-executable)

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Zynq Security Components and Capabilities

Steve Trimberger, Xilinx

Agenda

▶ **Security Features Inherited from FPGAs**

▶ **Zynq Secure Boot**

▶ **TrustZone Integration**

XILINX ▶ ALL PROGRAMMABLE.

Zynq All-Programmable SoC

> **Processor System (PS)**
> - 2x ARM9 866MHz-1GHz 32K/32K I/D Caches
> - 512KB shared L2 Cache
> - 256KB On-chip memory
> - Memory controller
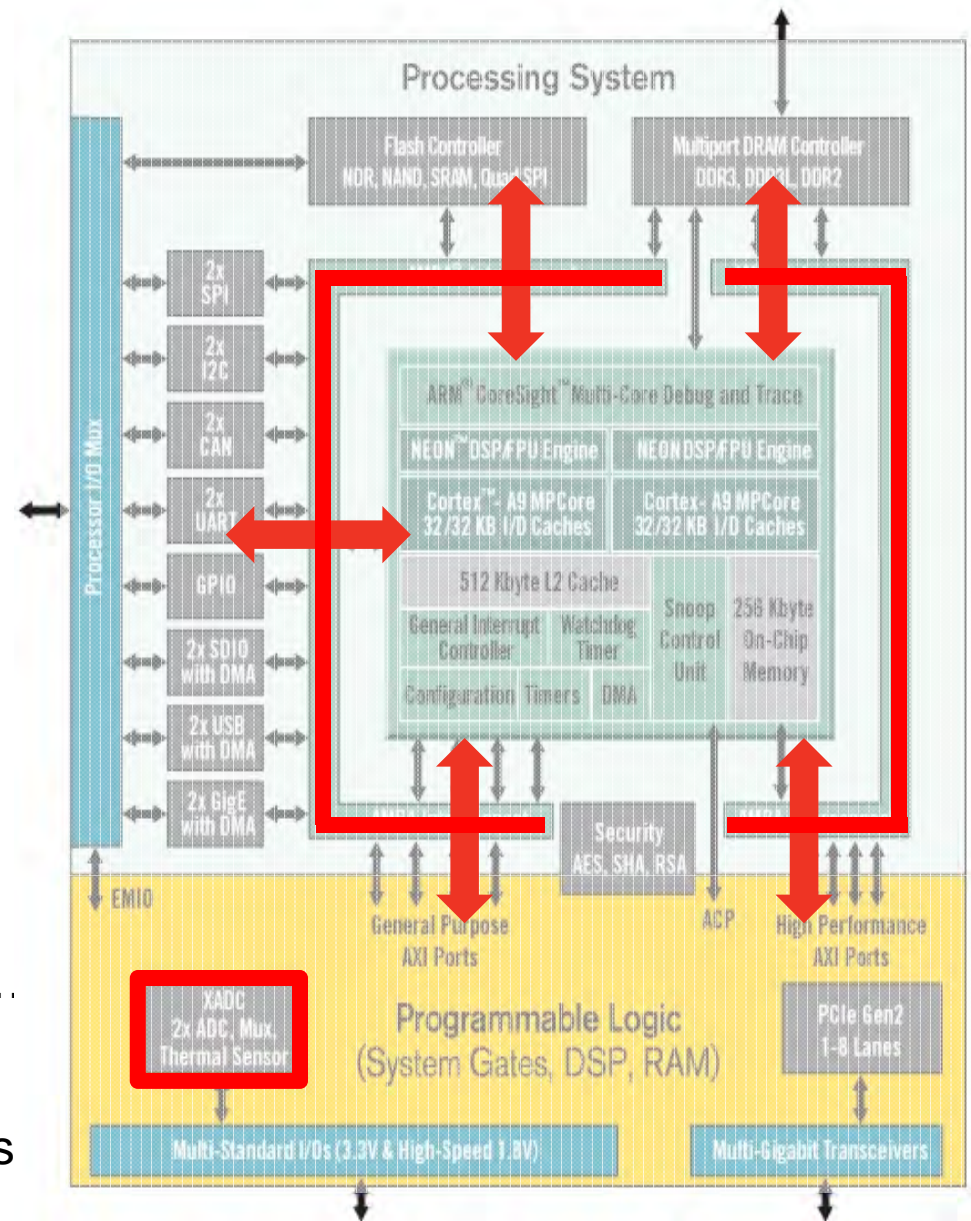> - Bus interfaces, timers
> - Libraries, OSs, middleware

> **Programmable Logic (PL)**
> - 28K – 440K LCs
> - 240K – 3MB RAM
> - 80 – 2020 DSP blocks
> - I/O, Transceivers, PCIe, Ethernet…

> **Programmable ADC**
> - Inputs from Voltage, Temp sensors

> **AMBA AXI bus fabric**

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Agenda

▶ Security Features Inherited from FPGAs

▶ Zynq Secure Boot

▶ TrustZone Integration

ΣXILINX ▶ ALL PROGRAMMABLE.

Passive Security Features: Device Identification

> **Device DNA and User eFUSE field**

– Uniquely identify the chip
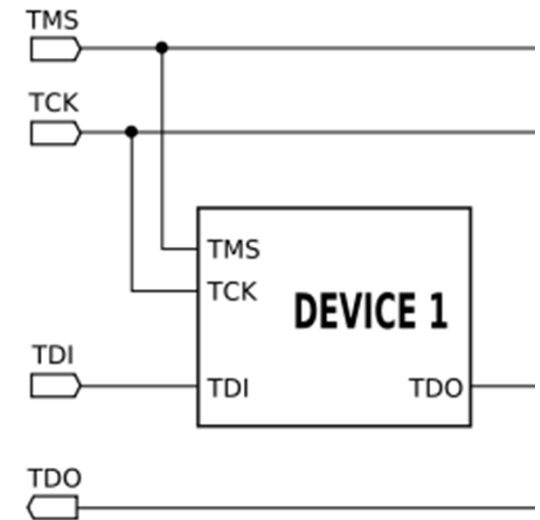
– An application can be tied to exactly that chip and no other

> **User eFUSE bits disable unencrypted bitstreams**

– FPGA rejects unencrypted bitstreams

– Restrict system usage to authorized applications only

XILINX ➤ ALL PROGRAMMABLE.

Active Security Features: Monitors

> **DETECT if there is activity on JTAG chain and DISABLE the JTAG chain**
>
> – JTAG is arguably the #1 vulnerability in every integrated circuit.

> **ADC can monitor user-specified temperature and voltage limit**

> **SEU checker: detects and repairs configuration bit flips. Detects attempts to subvert operation with focused radiation**

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XILINX ❯ ALL PROGRAMMABLE.

Active Security Features: Actors

➤ **Clear the** <u>**design**</u>**,** <u>**data**</u> **and** <u>**key**</u> **from inside the FPGA**

➤ **GTS macros immediately** <u>**tri-state**</u> **pins**

➤ **PROG_B intercept: user application prevents reconfiguration until cleanup done**

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Agenda

> **Security Features Inherited from FPGAs**

> **Zynq Secure Boot**

> **TrustZone Integration**

£ XILINX ➤ ALL PROGRAMMABLE.

Dual Authentication in Zynq Devices

> **Symmetric (AES-HMAC)**

–High-speed for fast configuration

–Inherited from FPGA

> **Asymmetric (RSA)**

–Provides authentication without using secret data

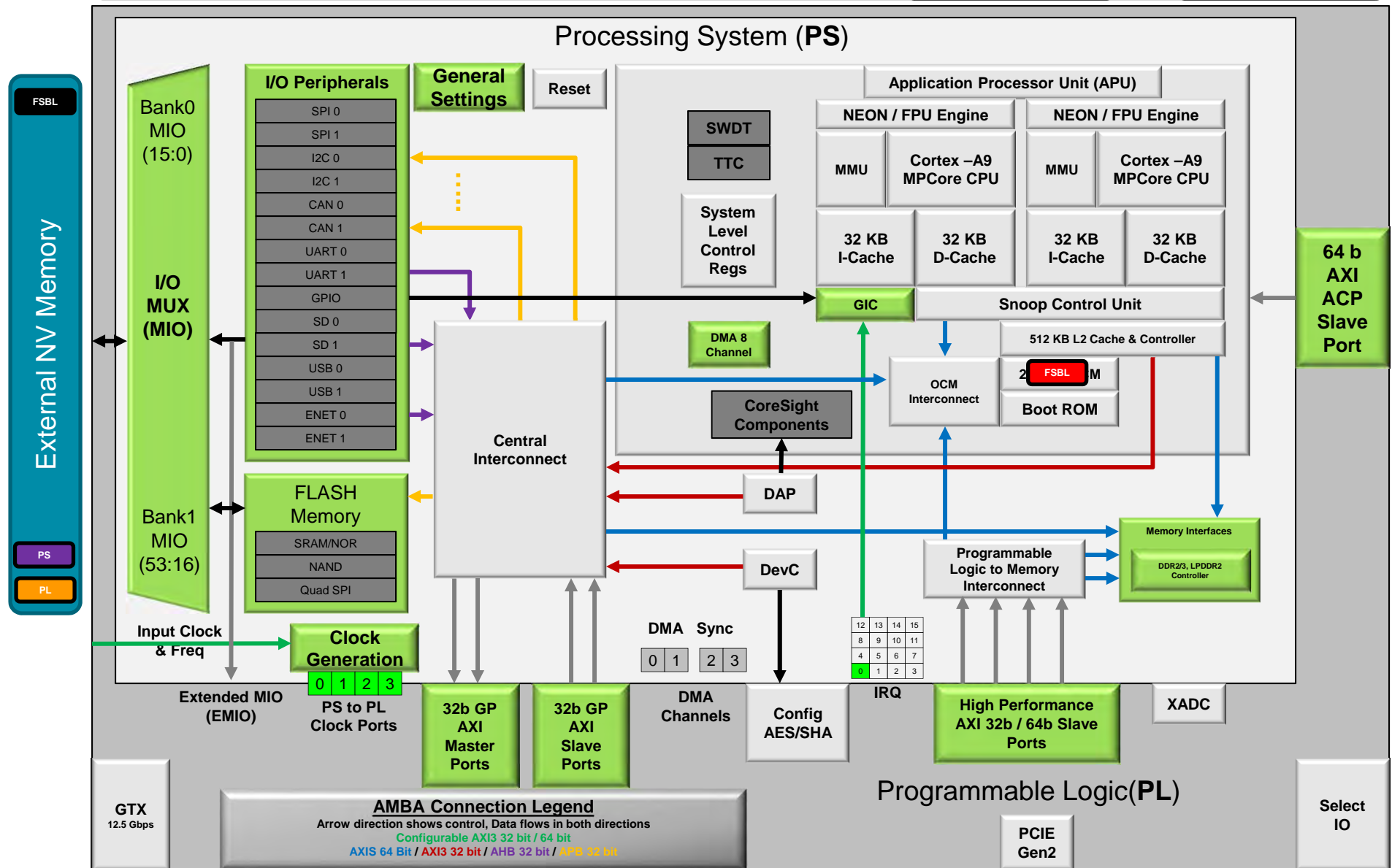–Key in silicon is "public" - does not have to be secret

XILINX ➤ ALL PROGRAMMABLE.

Zynq Key Loading

Vivado/ISE

SHA-256

Public

READY TO BOOT!

Public Key (eFuse)

Secret "Red" AES Key BBRAM or eFuse

via JTAG

Processing System

Programmable Logic

Zynq Secure Boot Sequence

Zynq Secure Boot

▶ **Trust starts with boot ROM**

▶ **In secure boot, FSBL is authenticated before execution**
  - RSA-2048, user chooses the key

▶ **FSBL is just (authenticated) code. It can do *anything* securely**
  - Partition into pieces to fit into OCM
  - RSA authentication for each partition
  - AES-HMAC for each partition
  - New authentication or decryption algorithms
  - Key rolling

▶ **Single-entity model**
  - All secure boot starts with PS boot
  - Secured PS boot manages PL boot

Processing System

Flash Controller
NOR, NAND, SRAM, Quad SPI

Multiport DRAM Controller
DDR3, DDR3L, DDR2

Processor I/O Mux

2x SPI
2x I2C
2x CAN
2x UART
GPIO
2x SDIO with DMA
2x USB with DMA
2x GigE with DMA

AMBA Interconnect

AMBA Interconnect

ARM CoreSight Multi-Core Debug and Trace

NEON DSP/FPU Engine

NEON DSP/FPU Engine

Cortex- A9 MPCore 32/32 KB I/D Caches

Cortex- A9 MPCore 32/32 KB I/D Caches

512 Kbyte L2 Cache

General Interrupt Controller

Watchdog Timer

Snoop Control Unit

256 Kbyte On-Chip Memory

Configuration   Timers   DMA

AMBA Interconnect

Security
AES, SHA, RSA

AMBA Interconnect

EMIO

General Purpose AXI Ports

ACP

High Performance AXI Ports

XADC
2x ADC, Mux, Thermal Sensor

Programmable Logic
(System Gates, DSP, RAM)

PCIe Gen2
1-8 Lanes

Multi-Standard I/Os (3.3V & High-Speed 1.8V)

Multi-Gigabit Transceivers

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XILINX ▶ ALL PROGRAMMABLE.

Agenda

> **Security Features Inherited from FPGAs**

> **Zynq Secure Boot**

> **TrustZone Integration**
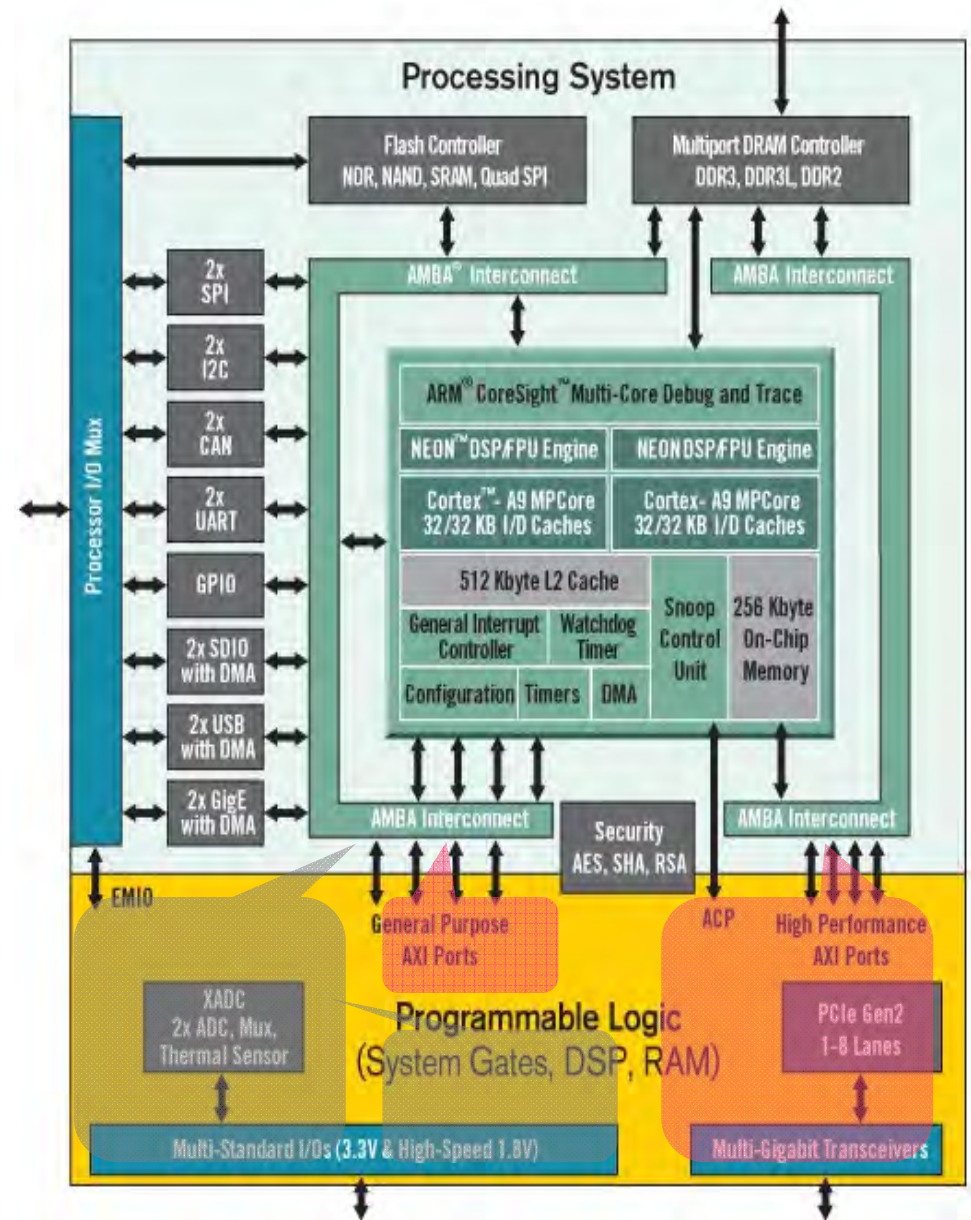
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TrustZone

> ARM TrustZone: separates *Secure World* processes and components from *Normal World*

> Secure World may access all components. Normal World may not access secure world components.

> Trust tags added to AXI bus transactions: AWPROT, ARPROT

> Mapping of components to Secure World is done in the PS system build

Full SoC
(All HW)

HW Accessible to "Secure World" software
(All HW)

HW Accessible to "Normal World" software
(HW subset)

TrustZone in Programmable Logic

> **AXI Switches handle TrustZone protection bits**

> **TrustZone pushed to AXI bus endpoints in PL**

  – These are firmware, built from FPGA fabric

  – They operate just like the corresponding hard logic in PS

  – They are marked by the user at compile time as Secure World or Normal World

  – Check ARPROT, AWPROT during operation

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What Does This Mean?

➤ **Chain of Trust lets you build what you want in code and fabric**

➤ **Control: JTAG, configuration**

➤ **Monitoring**

➤ **Defensive Actions**

➤ **Algorithm choice**

➤ **DPA resistance**

➤ **HW/SW, it's all good**

http://www.xilinx.com/applications/security/index.htm

http://www.xilinx.com/products/silicon-devices/soc/zynq-7000/security.html

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EDA Perspective on Hardware Cybersecurity

Serge Leef

Vice President and General Manager

- New Ventures
- System Level Engineering Division

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Cybersecurity Is A 'Big' Topic

Source: Search conducted in Factiva. Duplicates removed

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Need to Fill Up Your Calendar?

Internet of Things   Cloud Computing   Cybersecurity

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Internet of Things Dramatically Expands the Threats to Cyber Security

ATTACK TYPES

RELATIVE IMPACT

ATTACK TYPES		RELATIVE IMPACT
Social Engineering (Phishing/bating)	User	1 - 100
Malware / Macros (Information harvesting)	Application	10,000 – 100,000
Viruses/ Trojans (Hijacking, DDoS, etc…)	Operating System	~100 Million
	IoT	~100 Billion

Source: "*Understanding Integrated circuit Security Threats*" System Design and Management, Asif Iqbal 2011

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Embedded Threats Moving Down the Stack
Stealth & System Control Increase

Traditional target for disabling security tools

OS can harbor 'advanced persistent threats' for a specific target

Embedded Firmware malware is beyond the reach of current tools

Ultimate threat resides in the hardware blocks

Are EDA companies Ultimately Responsible for Solving the Security Problem?

- Traditional verification role
  - Verifying a chip does what it is <u>supposed</u> to do

- Emerging new role
  - Verifying a chip does nothing it is <u>NOT</u> supposed to do

EDA as the first line of defense

- **What to attack first?**
  1. Problems that have measurable impact
  2. Appear to be solvable
  3. Customers are willing pay for solutions

- **Side-channel Attacks – solutions exist**
  — Attempt to leak out keys via differential power analysis (and the like)
  — Current targets are mainly in smart-card and set-top box areas

- **Counterfeiting – problem apparent, no solutions yet**
  — Over-produced, cloned re-marked, recycled or otherwise unauthorized ICs provided by uninformed or untrustworthy suppliers and distributors for economic or adversarial reasons

- **Hardware Trojans – a theoretical threat?**
  — Malicious circuits put inside a chip which are harmless in normal operation until triggered by a preset internal or external condition(s)
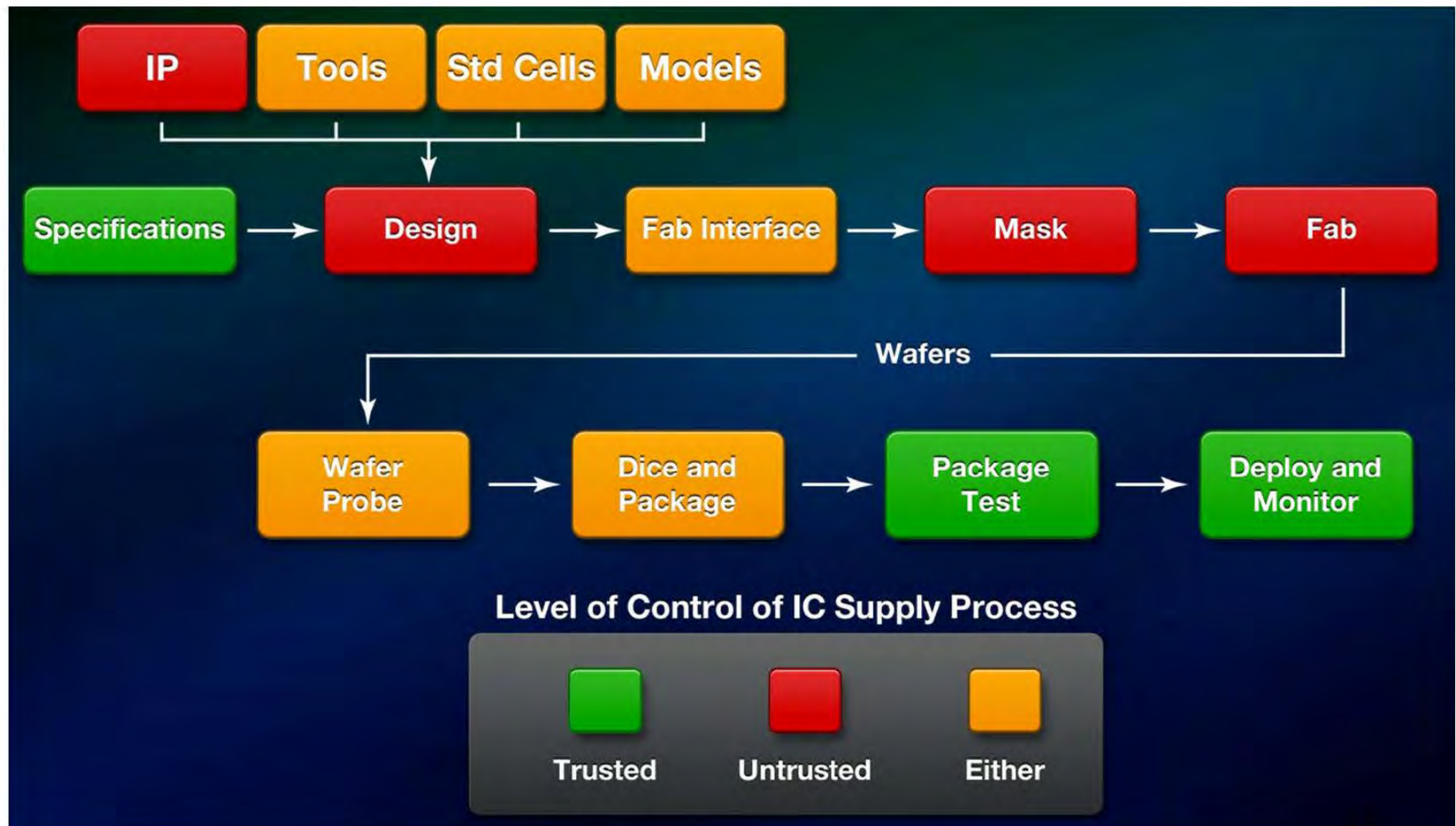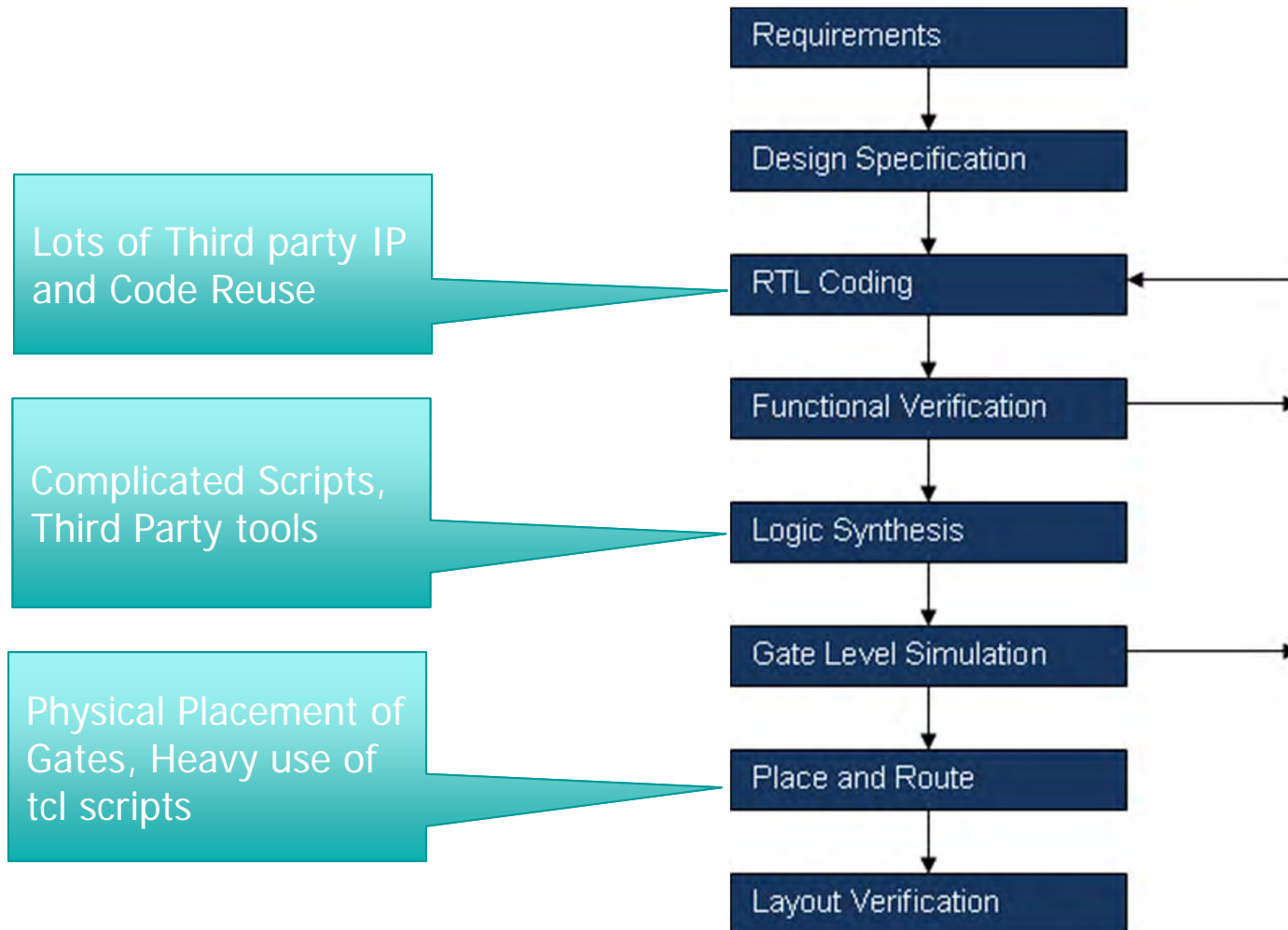
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CHARACTERIZING THREAT VECTORS

But it's not just design, the whole supply chain has evolved:
Evolution of IC supply chain (past)
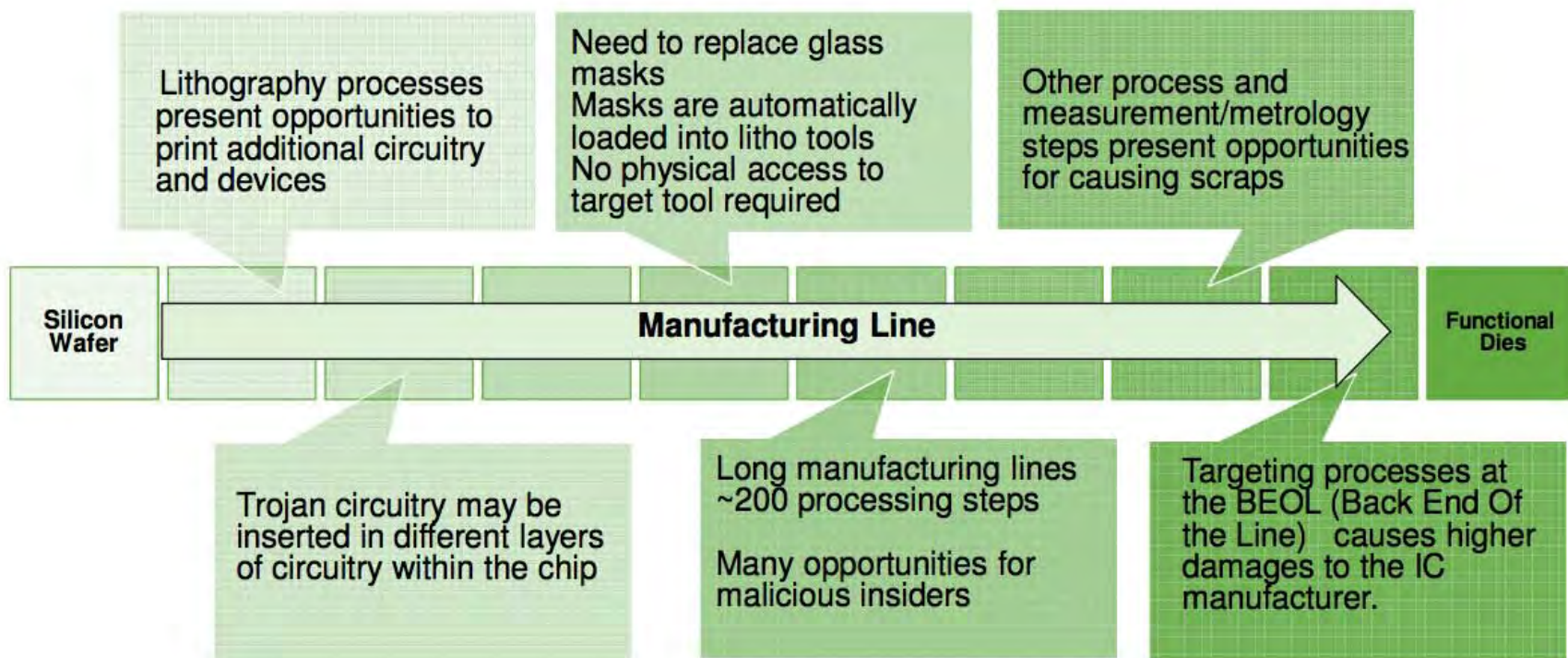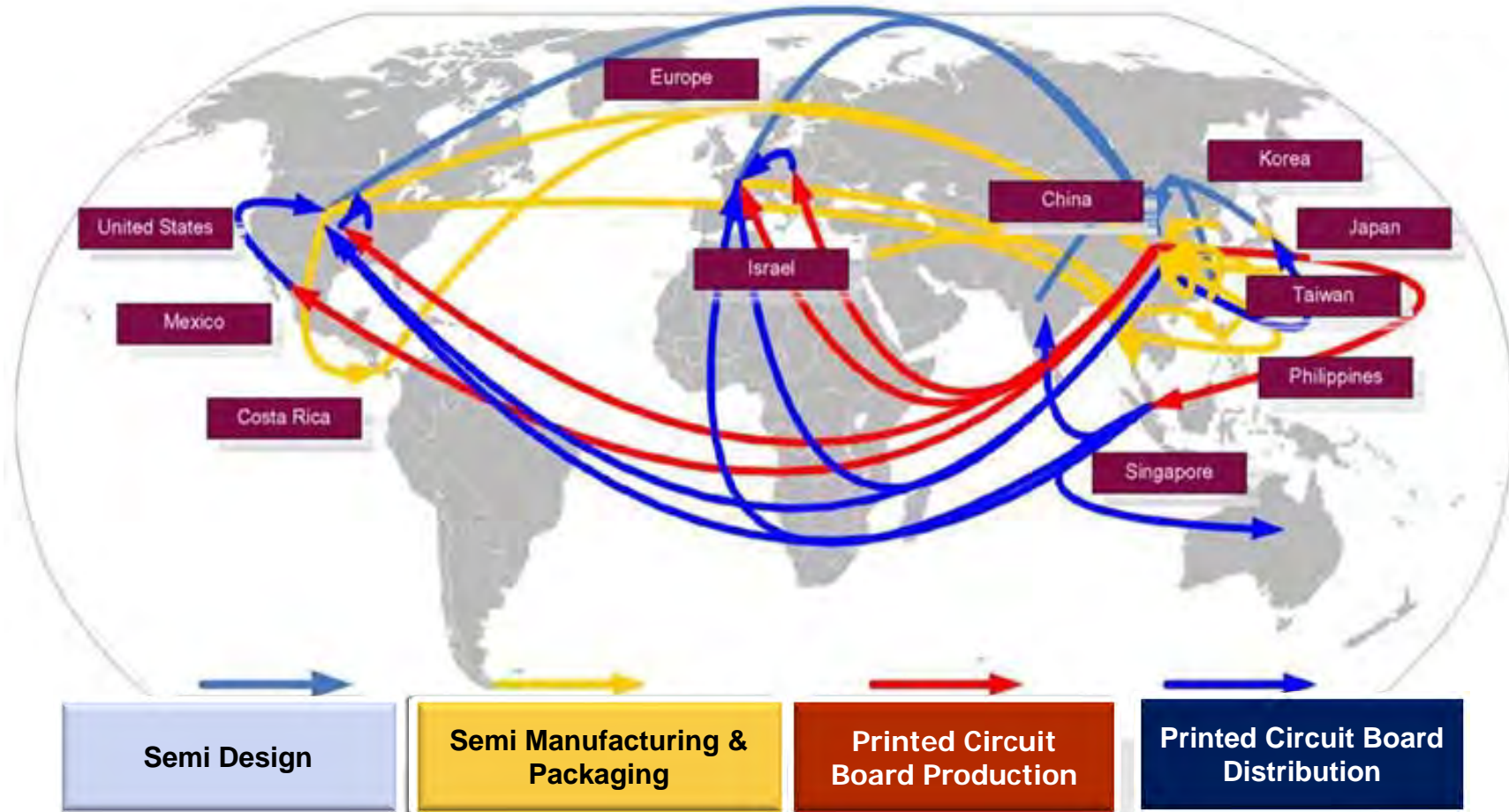
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Evolution of IC supply chain (present)

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Attacking IC design flow

Lots of Third party IP and Code Reuse → RTL Coding

Complicated Scripts, Third Party tools → Logic Synthesis

Physical Placement of Gates, Heavy use of tcl scripts → Place and Route

Requirements → Design Specification → RTL Coding → Functional Verification → Logic Synthesis → Gate Level Simulation → Place and Route → Layout Verification

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Manufacturing stages → many attack vectors

~200 processing steps in IC fabrication

Lithography processes present opportunities to print additional circuitry and devices

Need to replace glass masks
Masks are automatically loaded into litho tools
No physical access to target tool required

Other process and measurement/metrology steps present opportunities for causing scraps

Silicon Wafer

Manufacturing Line

Functional Dies

Trojan circuitry may be inserted in different layers of circuitry within the chip

Long manufacturing lines ~200 processing steps

Many opportunities for malicious insiders

Targeting processes at the BEOL (Back End Of the Line) causes higher damages to the IC manufacturer.

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COUNTERFEITING

Over-produced, cloned re-marked, recycled or otherwise unauthorized ICs provided by uninformed or untrustworthy suppliers and distributors for economic or adversarial reasons

Electronics Supply Chain is Global

Global nature of supply chain makes chain-of-custody approach unworkable

Semi Design

Semi Manufacturing & Packaging

Printed Circuit Board Production

Printed Circuit Board Distribution

Lifecycle for a single JSF (Joint Strike Fighter) IC – Component changed hands 15 times before final install

How can we build trusted silicon in an untrusted environment? VPN for ICs?

Authentication?

Image courtesy of DARPA

Authentication alone is not enough:
Additional mechanisms to be considered for higher security levels

- A more comprehensive EDA tool is needed

- On-chip odometers can address recycling threat
  - On chip structures that count some physical events like power cycles, memory accesses or other inexpensively measurable values
  - Data in the odometer is encrypted; reset to '0' indicates an attack
  - Can be accessed at the authentication time

- Activation – chips do not work as manufactured
  - Only the IP rights holder would have the keys needed to activate chips
  - Different degrees of activation need to be offered to enable the customer to make trade-offs between security and costs
  - Pre-existing test methods should be accommodated
  - Power-up, event-based or periodic activation offers highest security

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Chip Activation by Logic Encryption

- Inject "security gates" into the design
- Security gates are no-ops if the key value is correct
- Security gates break functionality if the key value is wrong
- At least one gate per key bit
  - More gates (up to a point) can be used to "couple" key bits and increase variance of the outputs
- Key strength increases with key register size

Managing Keys Securely

- Design house may never see the actual keys if they are managed by PaaS (Platform as a Service) technology

- A secure key management platform could be operated by
  - Government agency (ex: DoD)
  - Commercial entity (ex: Amazon)
  - The Design House's own cloud

- PaaS would expose a Web Services API that all EDA tools in the chain would access to deposit or access key via encrypted communications
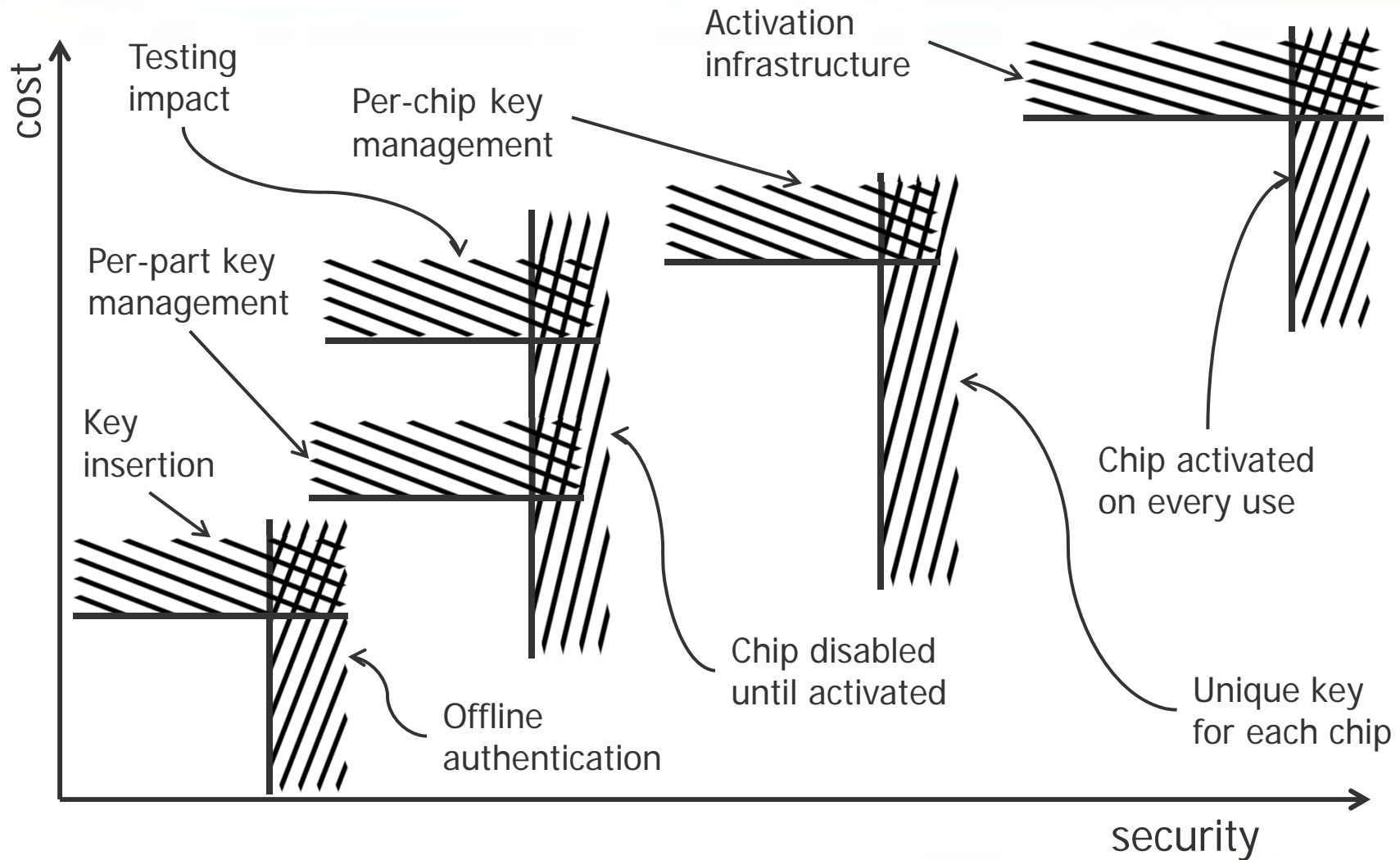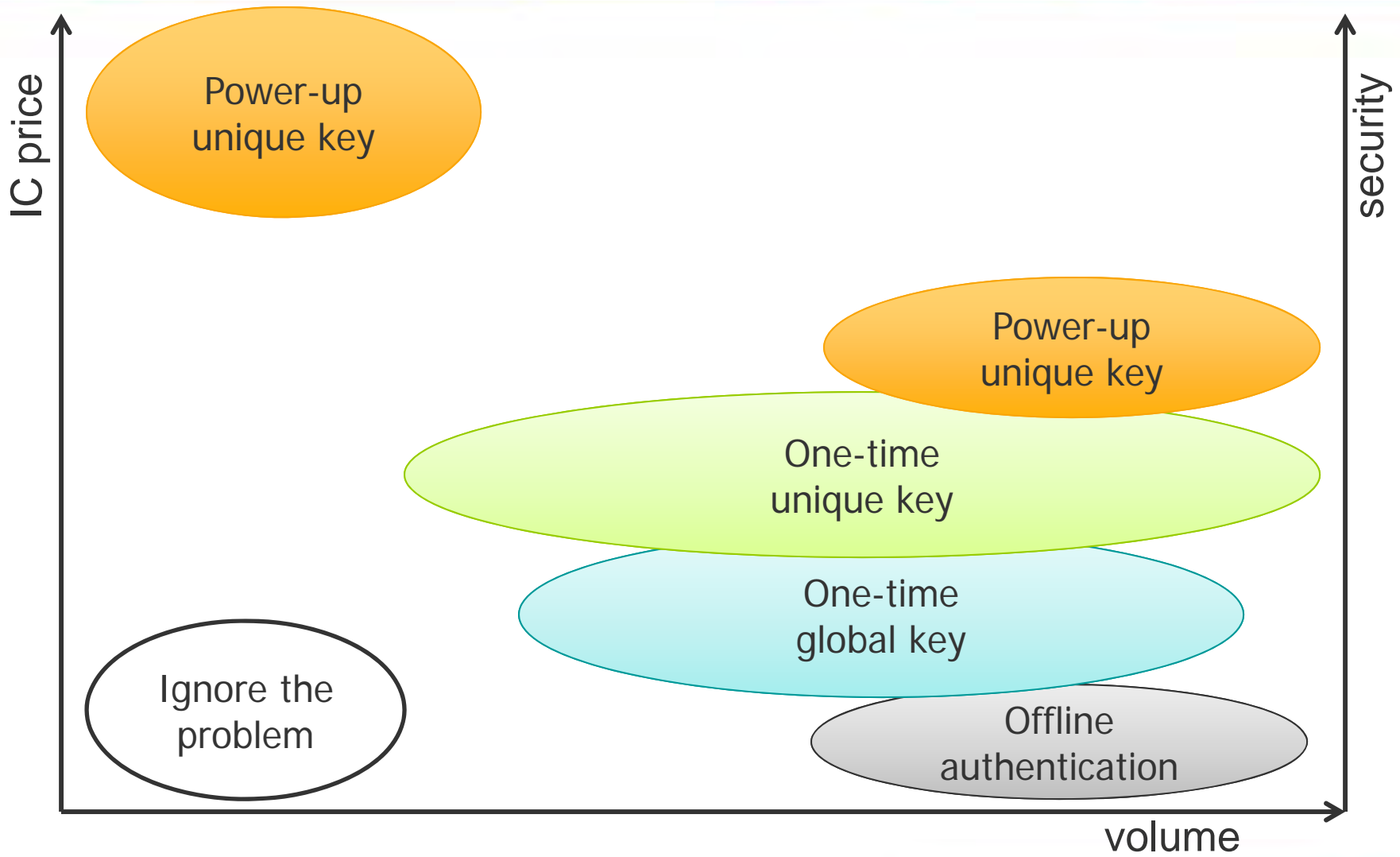
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Different types of solution are needed

- Offline authentication
  — Valid chip contain a unique key that can be verified offline
  — Unauthorized chips work but can be identified (if in possession)

- One-time activation using global key
  — As-manufactured chips do not work
  — Key needs to be entered to activate the chip

- One-time activation using unique key
  — Same as above but key is unique for each chip

- Power-up activation using unique key
  — Chip is not activated permanently, each use must be activated

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Solution Space for IP Protection

Testing impact

Per-chip key management

Activation infrastructure

Per-part key management

Key insertion

Chip activated on every use

Offline authentication

Chip disabled until activated

Unique key for each chip

cost

security

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Markets for Supply Chain Security Solutions

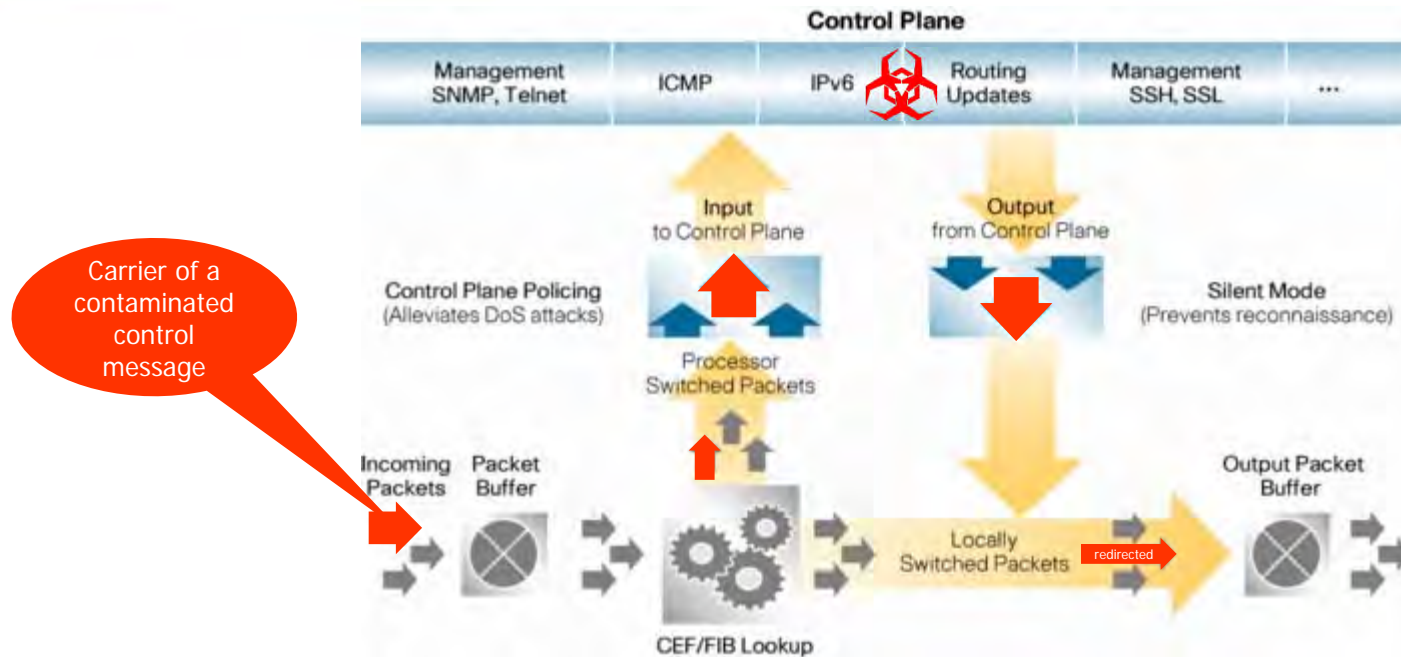# Needed: scalable platform that can support multiple contributions from many parties

- Need to raise the bar to deter financial incentive, can't solve Nation-State Attack

- New, digital design is target (not discrete or existing design)

- Following traditional EDA methods, crypto, security gates, registers insertion, access can be automated, verification performed

- User assisted selection of crypto, activation block, # of registers

- EDA contribution: Standard insertion methods and interface

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TROJANS

Malicious circuits put inside a chip which are harmless in normal operation
until triggered by a preset internal or external condition(s)

Threat Example: Compromised Router

Router image source: Cisco web site

- Unpublished control message travels around the internet and is unrecognized and ignore by most routers

- When a router containing a hardware Trojan in the control plane sees such message, it takes action to re-direct data

Design for Security - S. LEEF, July, 2014

Why is Trojan Detection Difficult?

- **Low probability of triggering during test**
  - Even a small IC today has millions of nodes
  - There are billions of states
  - Tests are for known use cases
  - Test time is expensive
  - Consider testing a million chips per production batch
  - Very difficult to test for **Unknown Unknowns**

- **Large number of gates in modern chips**
  - Exhaustive simulations are extremely computation and memory intensive
  - Obfuscation occurs during synthesis
  - No signature in Trojan circuits - they look just like normal hardware
  - Low probability triggers are finite state machines that can change states when time or input data changes
  - Nano-scale devices and high system complexity make detection through physical inspection almost impossible
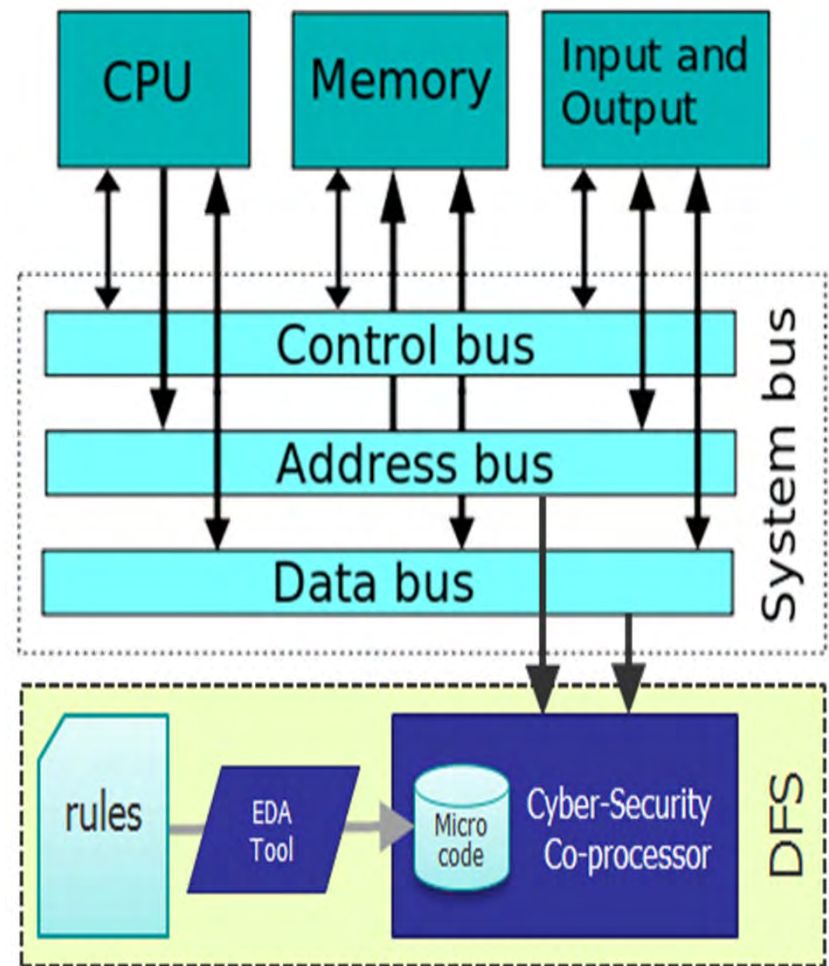
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IP as a Trojan carrier

- In a typical IP-based design, each block can originate from different sources

- Incoming IP blocks are verified to confirm promised functionality

- Additional verification may be done to confirm proper interaction with other IP blocks operating in the system context

- A key question that does NOT get asked in this process is: "does this block do anything ELSE?"

- Possible countermeasures:
  — Scan incoming IP for Trojan signatures - HARD
  — Insert run-time detection mechanisms

Possible Trojan Solution

- **Design time detection - not viable**
  - — Expanded test benches
  - — Formal methods

- **Solution: Run-time Trojan detection**
  - — Using declarative form, describe rules governing bus-based communications
  - — Synthesize bus-interface logic & co-processor
  - — Generate encrypted microcode containing detection mechanisms for known attack profile as well as system architecture specific ones
  - — Include co-processor in the design

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STARSS:
Fundamental Design-for-Security Research

Dr. Celia Merzbacher

VP for Innovative Partnerships & Government Relations

Director, Trustworthy and Secure Semiconductors and Systems

I just want to say one word to you. Just one word.
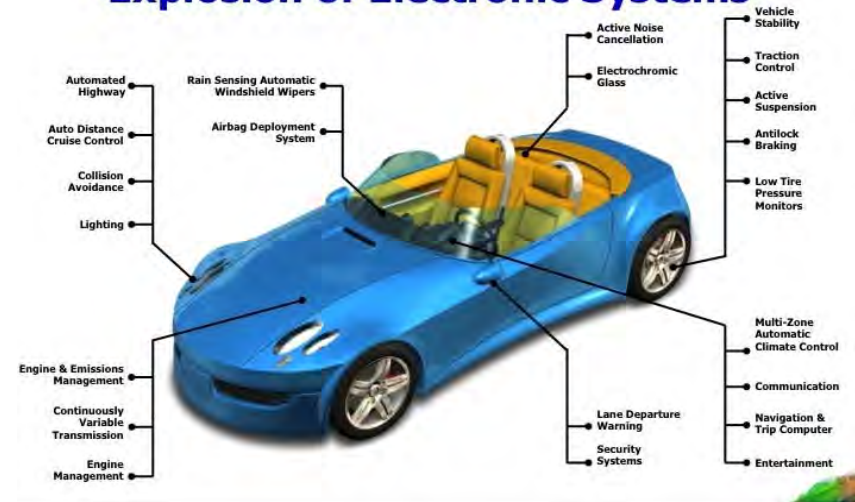Are you listening?
Cybersecurity.

Semiconductor Industry Trends & Challenges

- More pervasive, embedded, and networked, including in critical infrastructure systems
- More complex (SoC, NoC, SoS)
- More 3rd party IP
- Supply chain more global

★ *More vulnerabilities*
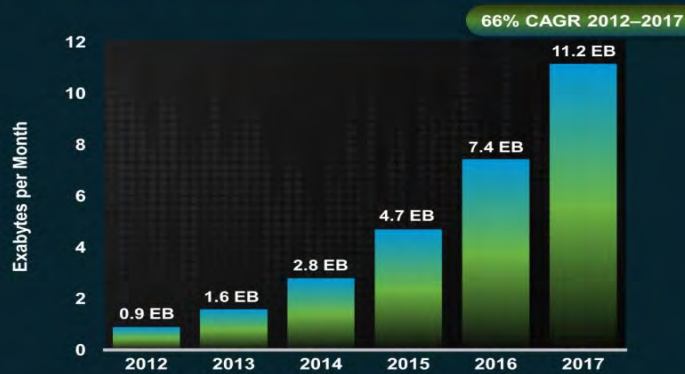★ *Greater impact if chip fails*
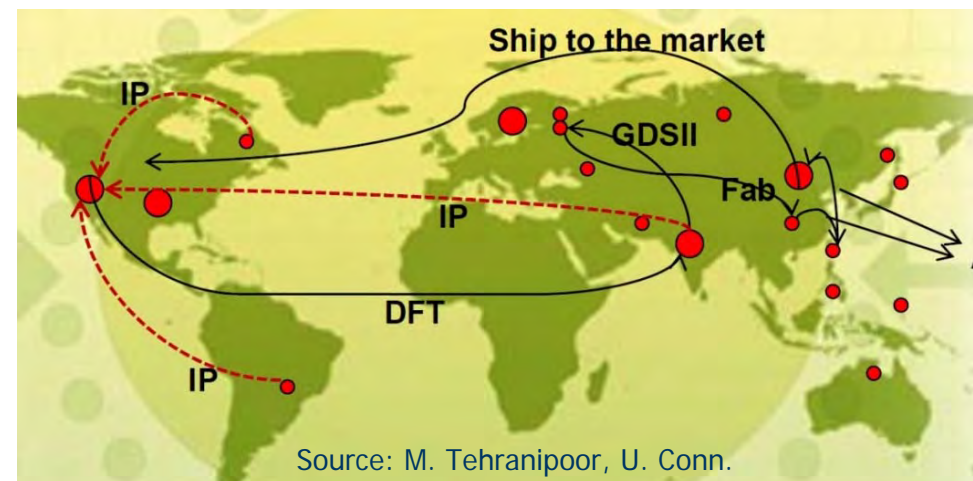★ *More attractive to adversaries*

Source: ChipEstimate.com

Source: M. Tehranipoor, U. Conn.

Trustworthy and Secure Semiconductors and Systems (T3S): A New Thrust in the SRC Portfolio

Global Research Collaboration

Ensuring vitality of current industry

Targeted Research

ESH

T3S

SemiSynBio

Advanced Connectivity

Focus Center Research Program Phase VI

STARnet

Early research engagement of key long horizon semiconductor challenges

Nanoelectronics Research Initiative

Beyond CMOS –the next switch and associated architectures

Education Alliance

Attracting and educating the next generation of innovators and technology leaders

Bringing industry together to identify and support – in collaboration with government – fundamental research for hardware assurance.

Essential Features of SRC Programs

- ✓ Highly leveraged investment in research
- ✓ Needs-driven, consensus-based goals
- ✓ World-class researchers (faculty & students)
- ✓ Interaction among academic and industry experts
- ✓ All members have rights to resulting IP
- ✓ Facilitated tech transfer via liaisons, online tools for access to project information, student resumes, etc.; webinars and in person reviews
- ✓ Nimble and adaptable (does not fund "bricks & mortar")
- ✓ Accountable; value-driven; efficient; effective

T3S Created to Address Shared Challenges and Add Value

- **Goal:** Provide maximum assurance that IP/chips/ systems will perform only as intended without impacting time to market, cost & performance and are resistant to attack/theft

- **Objectives:**
  - Develop cost-effective strategies, techniques and tools to increase security, trust, and assurance in chip-based components and systems
  - Form public-private partnerships that leverage investment
  - Grow/tap into the university research enterprise

- **Initial participants**

Step 1: Define Research Needs

SRC-NSF sponsored workshop in January 2013*, with experts from industry, academia and government, identified the following areas:

- Design and Manufacture for Security and Assurance, including properties, principles, architecture, specification, verification (internal and 3rd party IP) and validation

- Metrics for evaluating security and trustworthiness

- Vulnerability and threat assessment and frameworks

- Anti-counterfeiting strategies/techniques, e.g., authentication of semiconductor provenance tamper resistance, and securing the supply chain
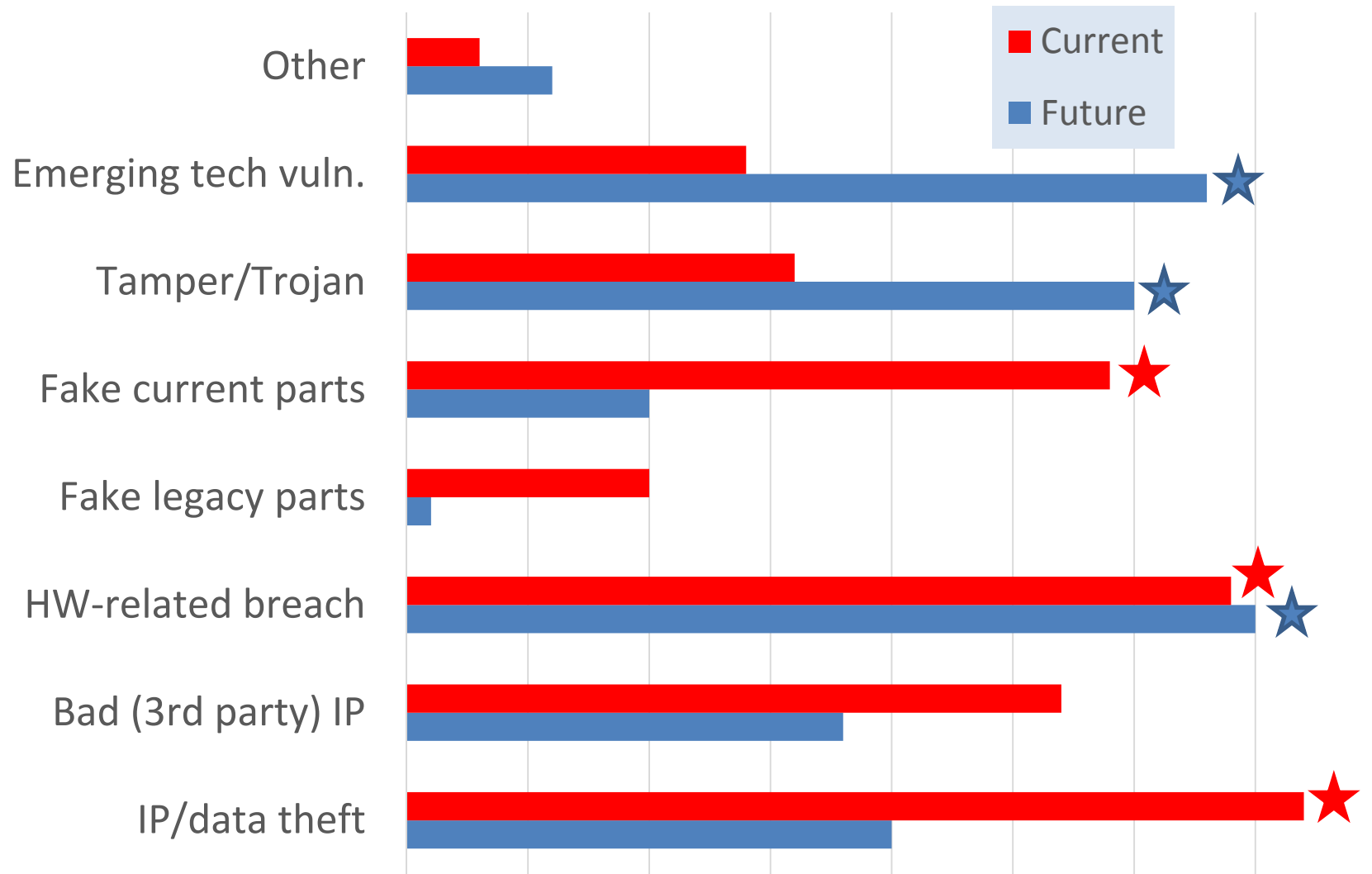
7

Survey of Hardware Security Threats

- Via email in May 2014
- Sent to ~200 individuals in industry, academia and government; received 60 responses
- Summary available via the SRC website at file:///C:/Users/merzbacher/Downloads/starss-survey-results.pdf
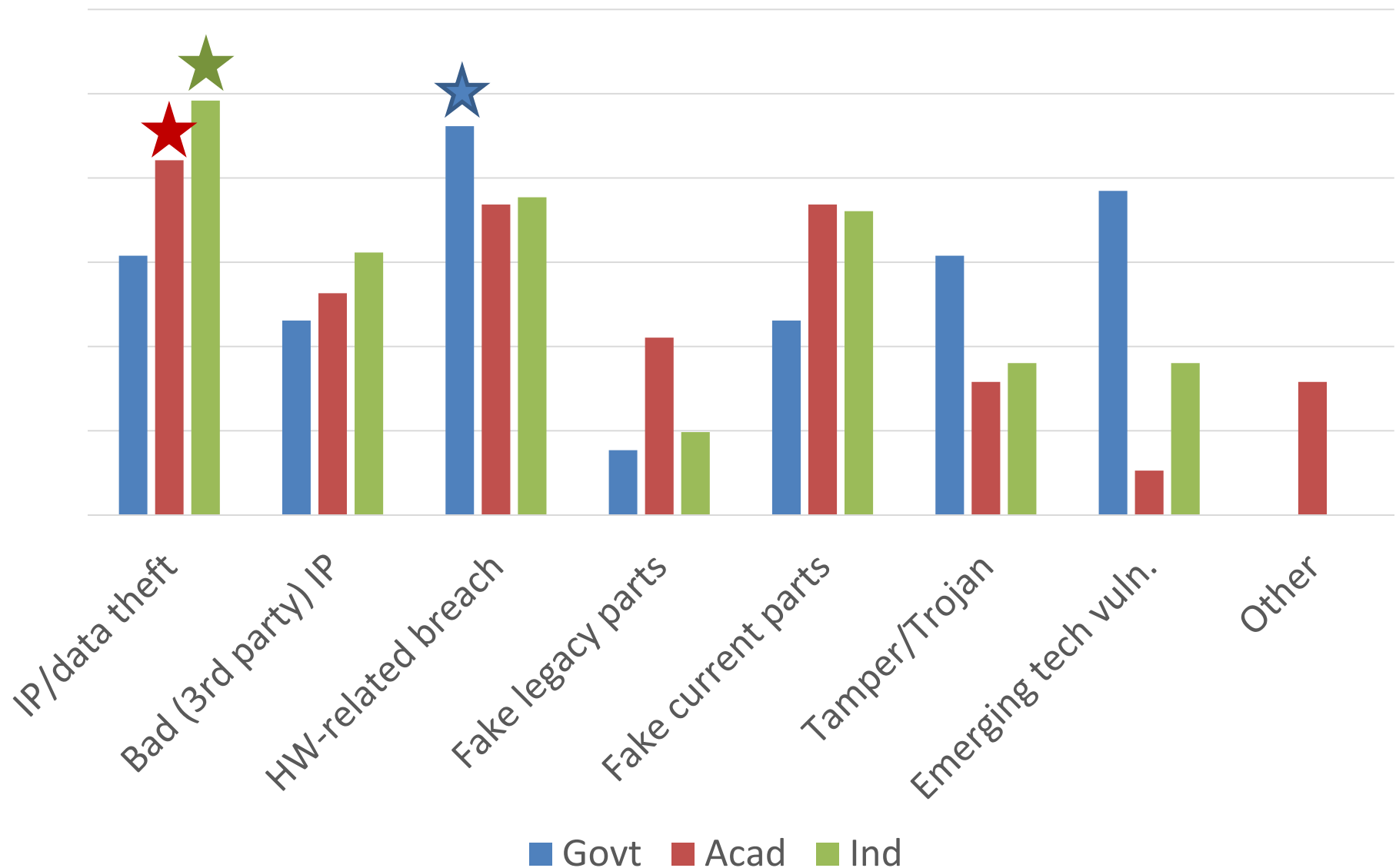
Q1 What are the top three current threats.
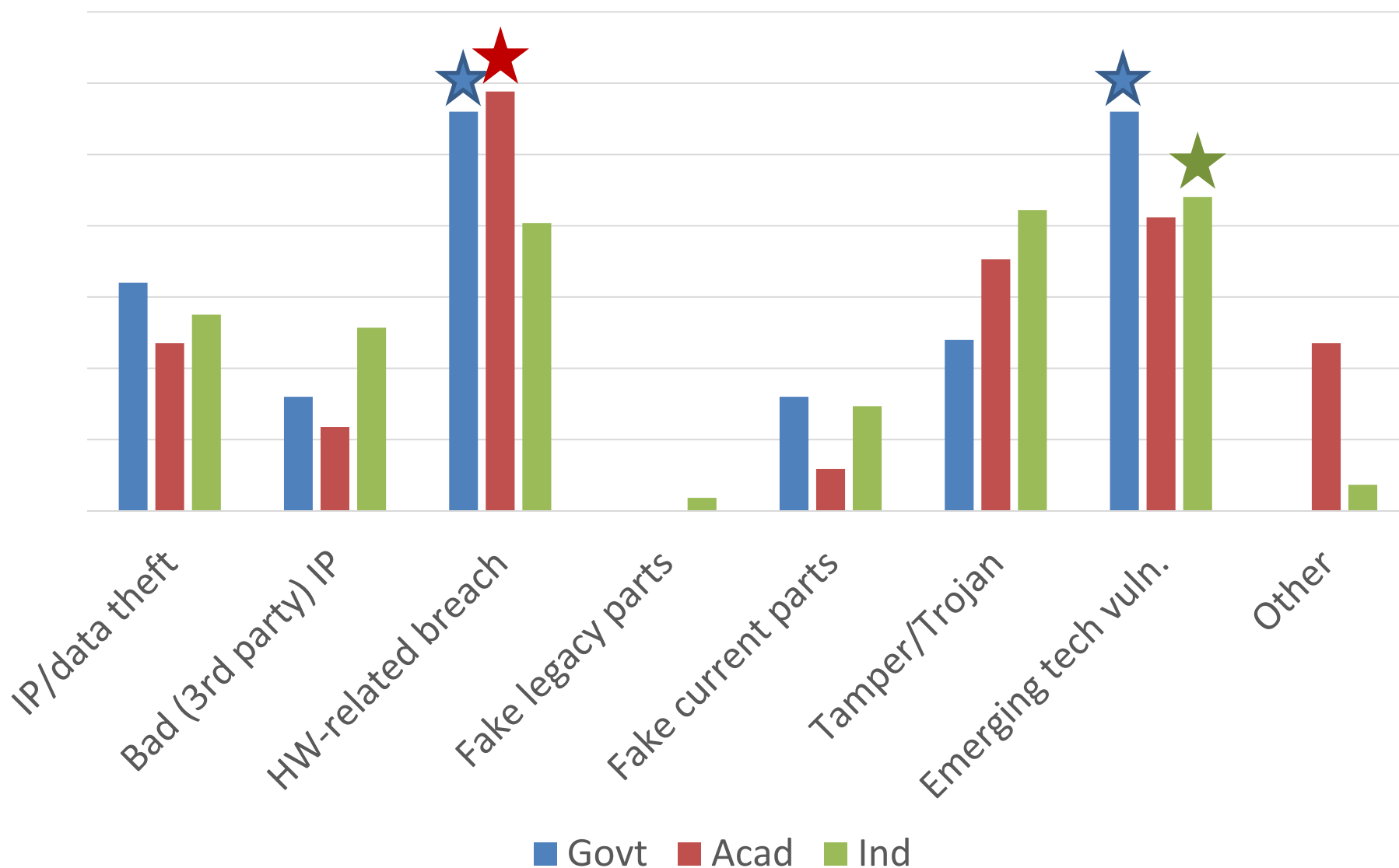Q2 What will be the top three threats in 10-20 years.

Other Threats

- Reverse engineering
- Hardware features that enable software and data attack
- The primary challenge in 10 – 20 years will likely be something we are not aware of today.

Current Threat Ranking by Sector
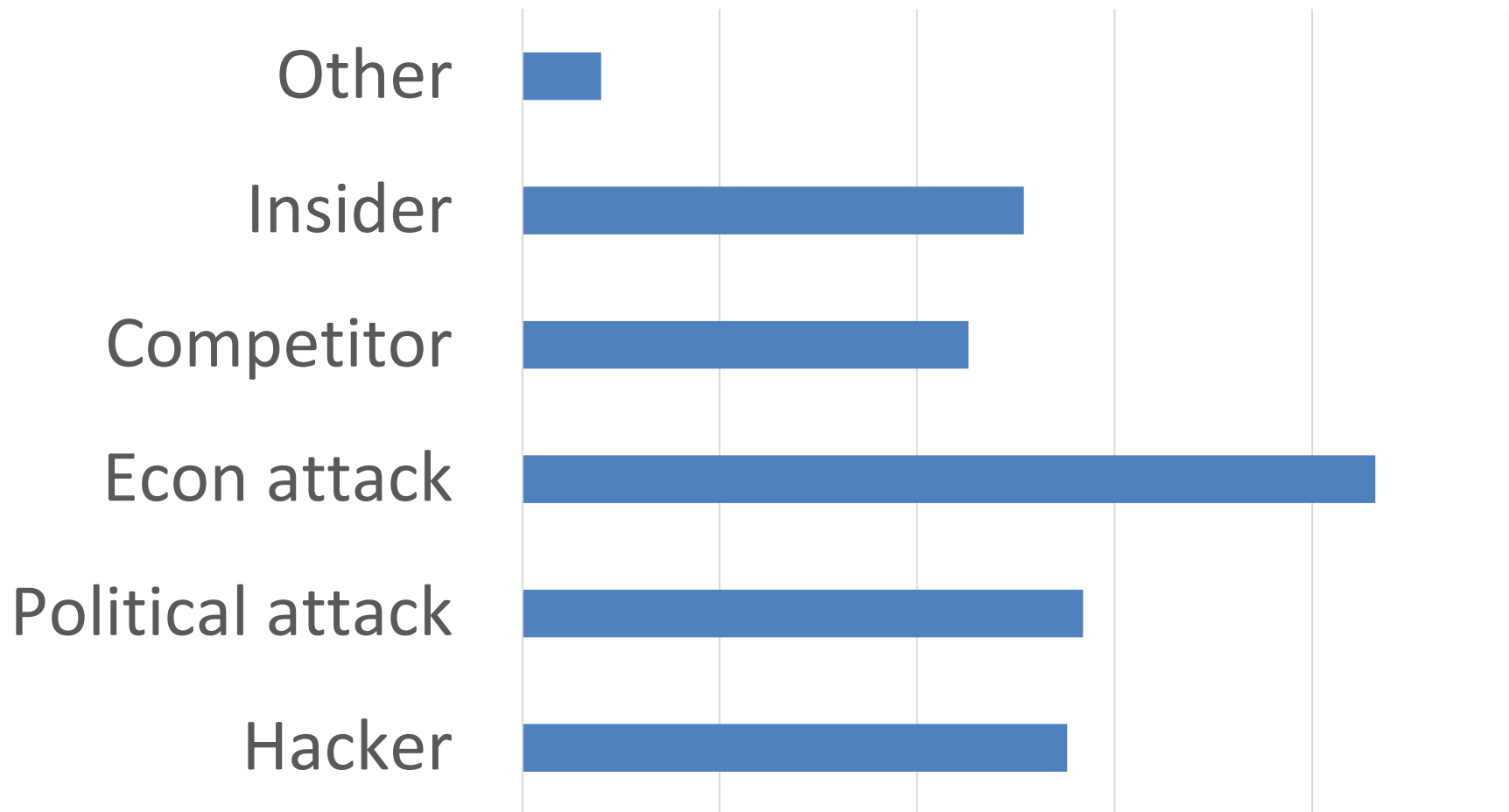

Govt   Acad   Ind

Future Threat Ranking by Sector

Govt · Acad · Ind

Q3: Rank the following threat agents in order of concern to you/your organization today.

Other
Insider
Competitor
Econ attack
Political attack
Hacker

Threat Agent Ranking by Sector

Legend: ■ Govt ■ Acad ■ Ind

Categories: Hacker, Political attack, Econ attack, Competitor, Insider, Other

Q4: What are the top three research challenges that you feel can and should be addressed by university research in the next 3-5 years?

Other Research Needs

- Design for resilience against security attacks (similar to fault tolerance against operational defects)
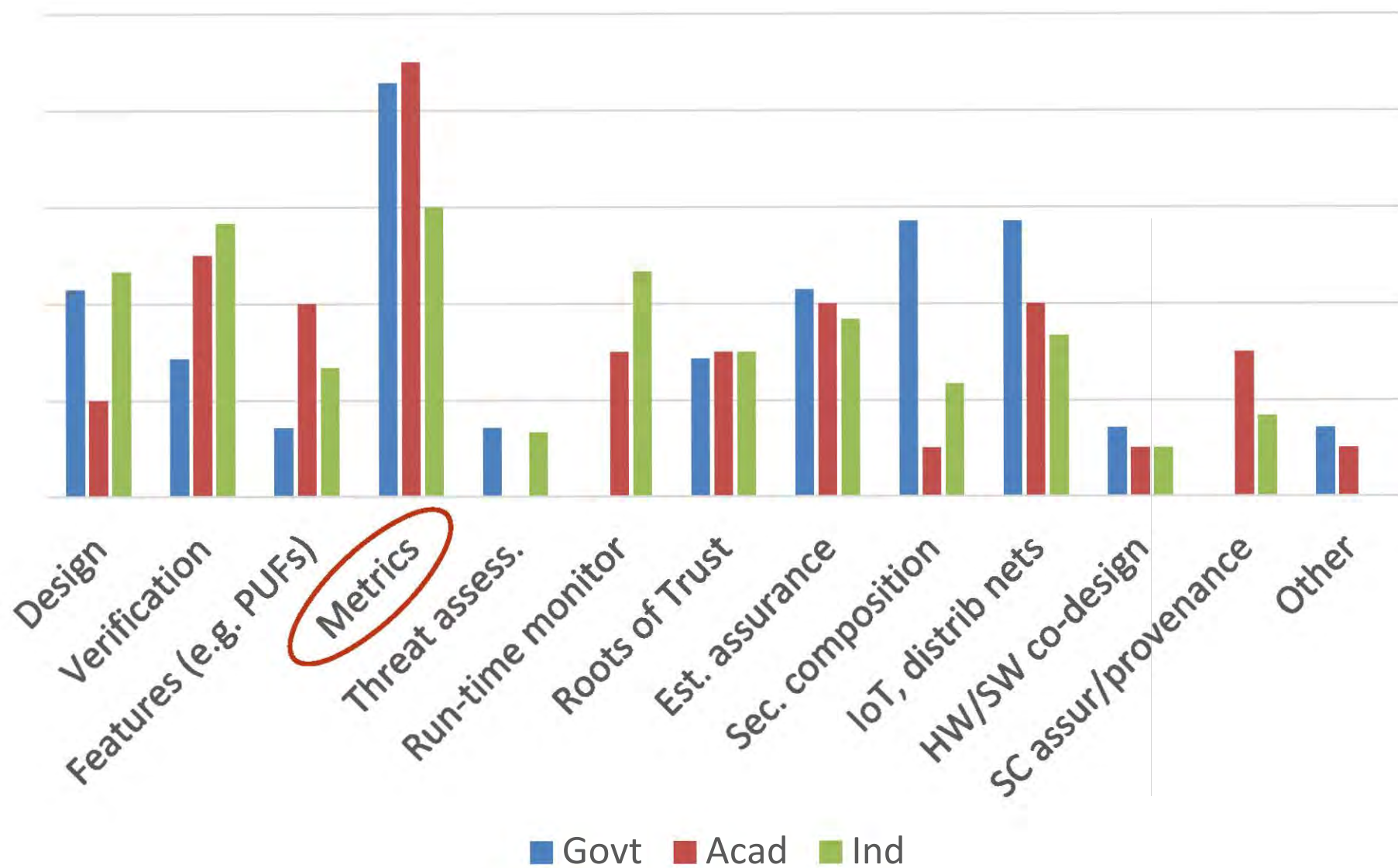- Role of humans in building and operating assured systems

Research Needs Ranking by Sector

Legend: Govt (blue), Acad (red), Ind (green)

Categories: Design, Verification, Features (e.g. PUFs), Metrics, Threat assess., Run-time monitor, Roots of Trust, Est. assurance, Sec. composition, IoT, distrib nets, HW/SW co-design, SC assur/provenance, Other

T3S Status

- **Engaging/recruiting additional members & partners**

  - In discussion with other semiconductor companies; network & other system developers/integrators; and critical infrastructure companies

  - Workshop held in May 2014—31 companies participated—to discuss drivers, capabilities, gaps and research needs. https://www.src.org/calendar/e005440/

  - Engaging additional government partners with interests/investments in university research and education

- **National Science Foundation (NSF) and T3S co-funding a multi-million program** on Secure, Trustworthy, Assured, and Resilient Semiconductors and Systems (STARSS).

  - First round of projects have been selected and will start in Q4 2014

NSF-T3S STARSS Solicitation Topics

- **Architecture & Design**: Architectural and design approaches, models, and frameworks for reasoning about and specifying hardware-specific security properties

- **Properties, Principles & Metrics**: Development of a set of hardware security design principles and semiconductor-specific properties

- **Security Verification & Analysis**: Tools, techniques, and methodologies for verifying hardware-specific security properties and enforcing the security design principles

- **Threat Assessment**: Frameworks for analyzing and sharing information about security threats due to unintended vulnerabilities or malicious attack during design or manufacture.

- **Authentication & Attestation**: Models for the insertion of artifacts and/or design elements that are verifiable during design and manufacture.

- **Tools and Frameworks**: Develop security engineering models for implementation of research results and for use in education and training of engineers.

More details at http://www.nsf.gov/pubs/2014/nsf14528/nsf14528.htm

- Secure chip odometers for measuring use and age
- Trojan detection and diagnosis
- PUF-based authentication
- Design of low-cost memory-based security primitives and techniques
- Design & Metrics for resistance to differential power analysis attack
- Understanding and detection of fault-based attacks
- IP integrity validation
- Hierarchical approach to design of secure IC's using authentication and obfuscation
- Invariant carrying machine for hardware assurance

Increasingly recognized as important...
But remains a challenge.

Google Project Ara modular phone

Appendix C – Design for Security Workshop Outbrief

Design for Security Working Meeting Final Report

Jeff Draper
Project Leader, Information Sciences Institute
Research Associate Professor, Ming Hsieh Department of EE
ISI, Marina del Rey, CA
September 29, 2014

Sponsorship Acknowledgment

- U. S. Army Research Office Award No. W911NF-13-1-0261
  POC: Dr. Cliff Wang

2

— Motivation
  - *Protection of Intellectual Property (IP), critical components of all DoD designs*
    – *Additionally, DoD has a legacy IP protection issue*
      » *Designs spanning the last 30 years with thousands of different IP blocks*
    – *Intersection of security, reliability, safety*
    – *Mission sustaining capability*
      » *Infrastructure for combatting unknown unknowns*

— IP Protection
  - *Privacy*
  - *Copying/counterfeiting*
  - *Sabotage*

— Challenges (Areas needing investment)
  - *Metrics for quantifying design security*
  - *Tools for measuring design security and developing/evaluating mitigation approaches*

Motivation

- Intellectual Property (IP) intensive industries account for 20% of the US workforce and a third of GDP[1]

- Many reported IP compromises involve chip-based platforms[2]

Figure 4. Compromised assets by percent of breaches involving Intellectual Property theft*

Type	Category	
Database server	Servers	48%
File server	Servers	32%
Finance/Accounting staff	People	29%
Human resources staff	People	29%
Documents	Offline Data	28%
Regular employee/end-user	People	28%
Web/application server	Servers	25%
Mail server	Servers	12%
Directory server (LDAP, AD)	Servers	6%
Executive/Upper Management	People	6%
Desktop/Workstation	User Devices	5%

*Assets involved in less than 1% of breaches are not shown

Trusted chips are crucial to improve the security of servers and devices

For DoD, chips are core to modern weapon systems, including airplane, missile, C4ISR, etc.

Motivation

- Chip-level IP protection strategies must consider various threats
  - Privacy
    - *Preventing reverse engineering*
  - Theft
    - *Copying / counterfeiting*
  - Sabotage
    - *Preventing denial of service or more insidious attacks*
  - Relationships between threats
    - *Threats are not necessarily mutually exclusive or hierarchical, i.e., copying can be done without any knowledge of how a design really works*
- DoD IP protection involves critical factors that are not as dominant in consumer market and therefore not likely to be addressed by commercial ventures alone
  - Legacy IP protection
    - *Theory/tools needed for assessing vulnerabilities in legacy systems*
  - Mission-sustaining capability
    - *Approaches must consider long deployment lifetimes*
  - Information dominance
    - *DoD's C4ISR must be protected and trusted*

- All facets of IC industry have a stake in the game, for example
  - ARM
    - *Embedded core ecosystem where customer demands protection*
    - *Trustzone approach only beginning to tackle the problem*
  - Xilinx
    - *FPGA protection, especially configuration scan chain*
    - *Zynq secure boot addresses only part of the problem*
  - Mentor
    - *Trustworthy CAD tool flow for generating/verifying chip designs*
- Will markets really be willing to pay the cost for added protection ?

- Developing a holistic approach that enables security to be quantified so that it can be treated as a design constraint
  — If successful, should be able to easily extend current design flow using security as another constraint (similar to area, energy, speed) in multi-objective optimizations
  — Implies development of an "algebra" for quantifiable assessments
- Reducing such a paradigm to practice
  — Techniques
  — Tools
  — Indirect effect on other parts of chip design flow, like testing
    - *Must incorporate intelligent targeted testing accounting for attack types; Monte Carlo-style testing alone will not suffice*

- Success of an extensive design for security approach hinges on quantifiable measures of security, or metrics

- Prior work in this area has been largely theoretical without a means to reduce to practice

- Potential tiered approach may enable traction
  — Capture design statistics at various levels that could contribute to a measure of security
    - *e.g., layer density at layout level, complete state machine transition specification at RTL level, complexity figures (number of gates, number of nets, fanout averages, etc)*
  — Combine design statistics with attack-specific information
    - *While design statistics are static with respect to a specific design, the contribution of the attack-specific information to a security measure is dynamic, changing with the added knowledge of future attack types*

- Different levels of views of security and associated metrics (from each specific attack to general resilience issues against the unknown unknowns)
- Establish composite security metrics
- Account for:
  — Dynamic risk/reward model
  — Cost to implement
  — Cost to detect
  — Cost of attack
  — Attribution of attack (designer, foundry, etc)
  — Impact of attack
  — Resilience to attacks
    ▪ *Side channel exposure, reversability*
- Approach should not be dominated by any specific problem/attack and should envision the presence of future unknown unknowns

Sensitivity Study

- Metrics and tools for design security must consider nuances of targeted domains
  - Analog / mixed-signal
  - Digital
    - *Control blocks versus datapath structures*
    - *Pipelined structures*
    - *State machine structures*

- Must consider each level of design flow and identify overlapping, orthogonal, or even conflicting issues between various levels of design

Top 5 Research Area Priorities

- Overarching theme: need for systematic approach to HW security

  — Methods to create verifiably secure, attack-resistant IP at all levels of design hierarchy, including definitions of metrics

  — Methodologies/techniques for the behavioral modeling of the security of devices and systems

  — Tools for secure interplay between hardware and software

  — Design environment for modeling and simulating hardware attacks and actions for mitigation

  — Extensions to HW description languages that capture security attributes

References

1. US Congress Joint Economic Committee Report, August 2012.
2. McAfee Threat Report, November 2012.
3. Design for Security Working Meeting wiki, https://uscisi.atlassian.net/wiki/display/DFSWM

Appendix D – Design for Security Workshop Attendee List

1. Rob Aitken, ARM
2. John Chandy, Uconn
3. Michael Chen, Mentor
4. Aravind Dasu, USC ISI
5. Antonio de la Serna, Draper Laboratory
6. Jeff Draper, USC ISI
7. Ben Epstein, iSW
8. Josh Etzkin, Sandia National Laboratories
9. Saverio Fazzari, Booz Allen Hamilton
10. Paul Franzon, NCSU
11. Matt French, USC ISI
12. Mike Fritze, USC ISI
13. Denis Garagic, BAE Systems
14. Krishna Gopalakrishnan, BAE Systems
15. Chana M. Greene, Capt. USAF
16. Kenneth Heffner, Honeywell
17. Jonathan Heiner, USAF
18. Fouad Kiamilev, U of Delaware
19. Farinaz Koushanfar, Rice University
20. Serg Leef, Mentor
21. Daniel Marrujo, DMEA
22. Carl McCants, IARPA
23. Celia Merzbacher, SRC
24. Matt Noell, Raytheon
25. Jon Osborn, Aerospace Corporation
26. Jim Plusquellic, University of New Mexico
27. Miodrag Potkonjak, UCLA
28. Gang Qu, U of Maryland
29. Matt Sale, NSWC Crane
30. Shaker Sarwary, Atrenta
31. Peilin Song, IBM
32. Steve Trimberger, Xilinx
33. Ingrid Verbauwhede, UCLA
34. Cliff Wang, US Army Research Office